

Equi-kneading of skew tent maps in the square

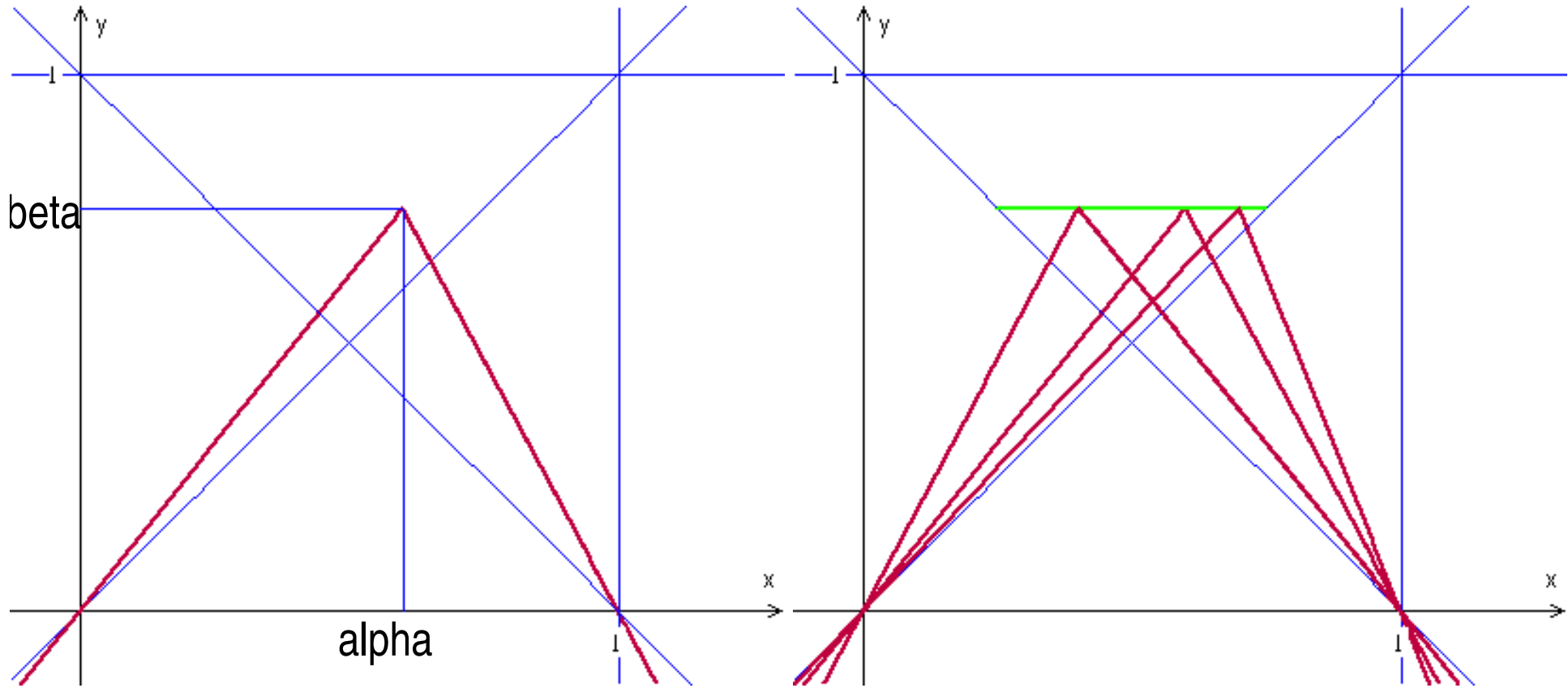
(work in progress)

Zoltán Buczolich

Eötvös University, Budapest

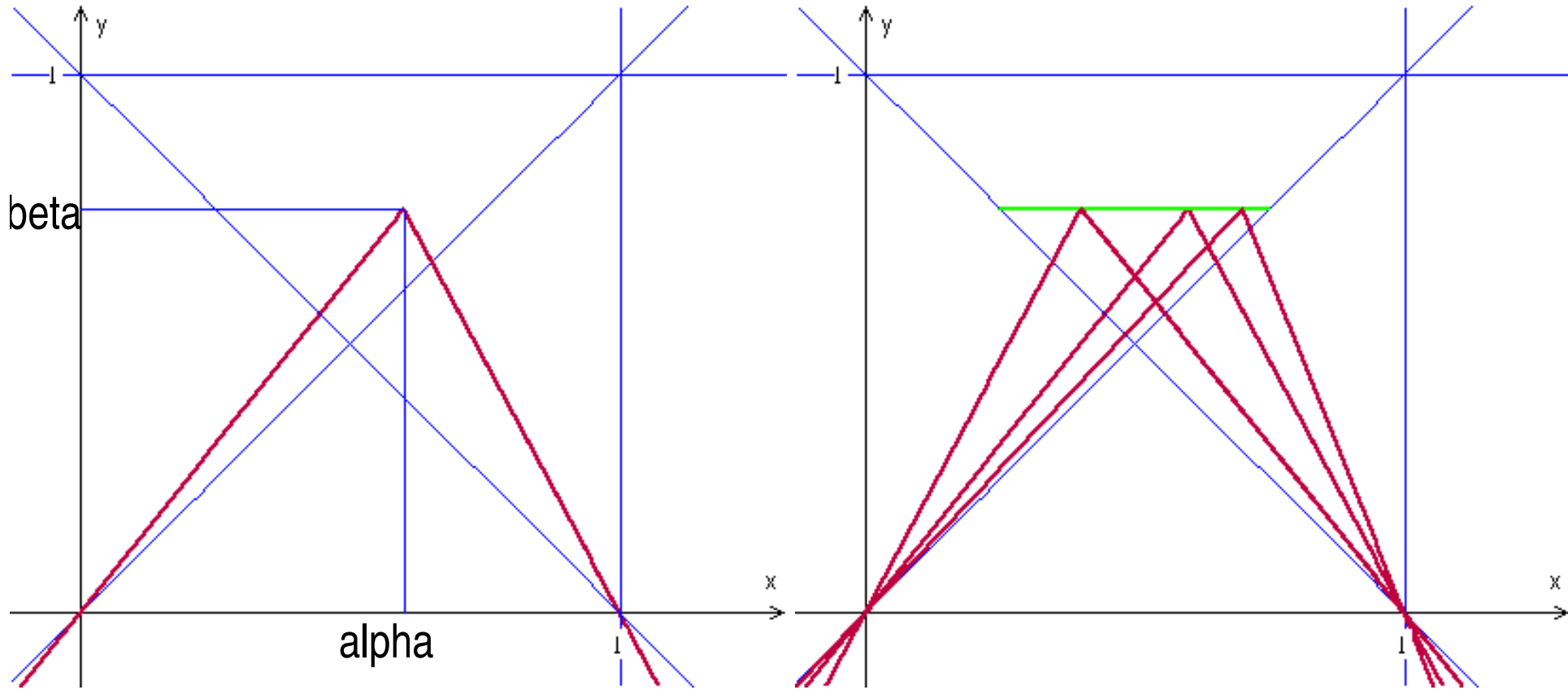
`www.cs.elte.hu/~buczo`

Joint work with: Gabriella Keszthelyi

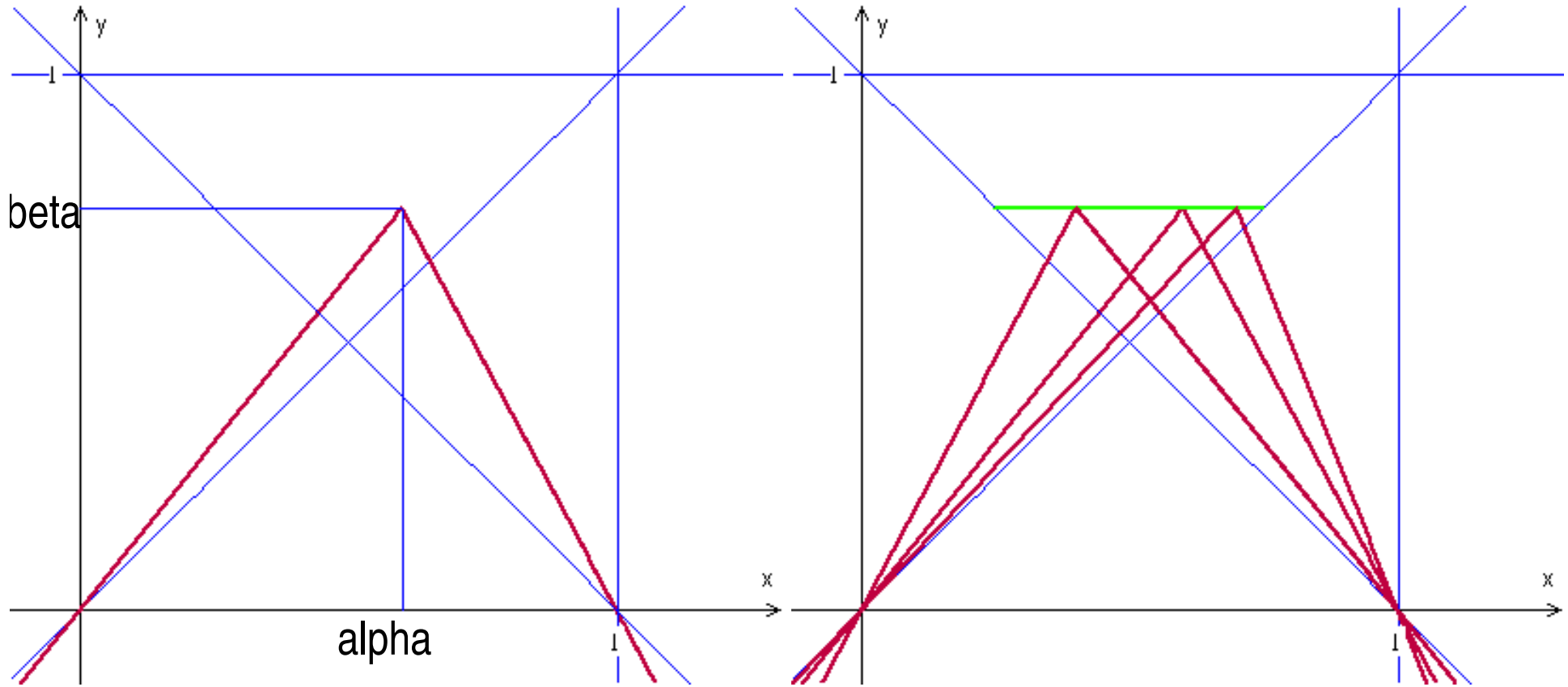


Consider a point (α, β) in the unit square $[0, 1]^2$.
 Denote by $T_{\alpha, \beta}(x)$ the skew tent map

$$T_{\alpha, \beta}(x) = \begin{cases} \frac{\beta}{\alpha}x & \text{if } 0 \leq x < \alpha \\ \frac{\beta}{1-\alpha}(1-x) & \text{if } \alpha < x \leq 1. \end{cases}$$



The topological entropy of $T_{\alpha, \beta}$ is denoted by $h(\alpha, \beta)$.

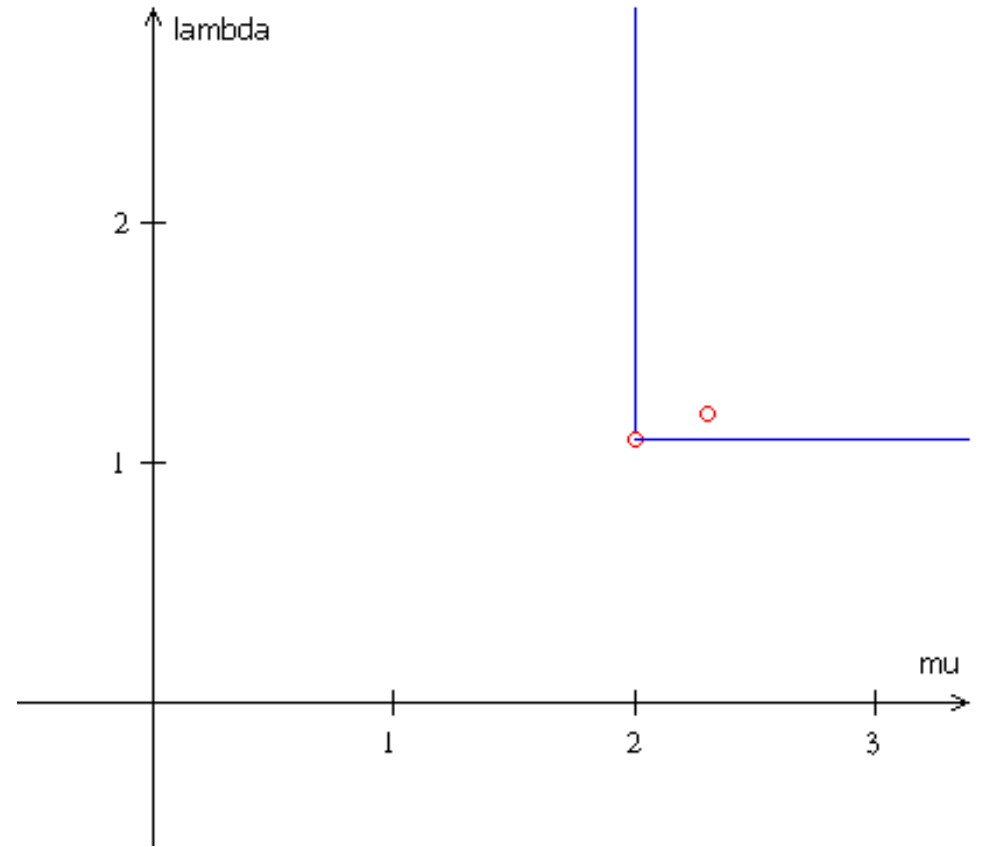
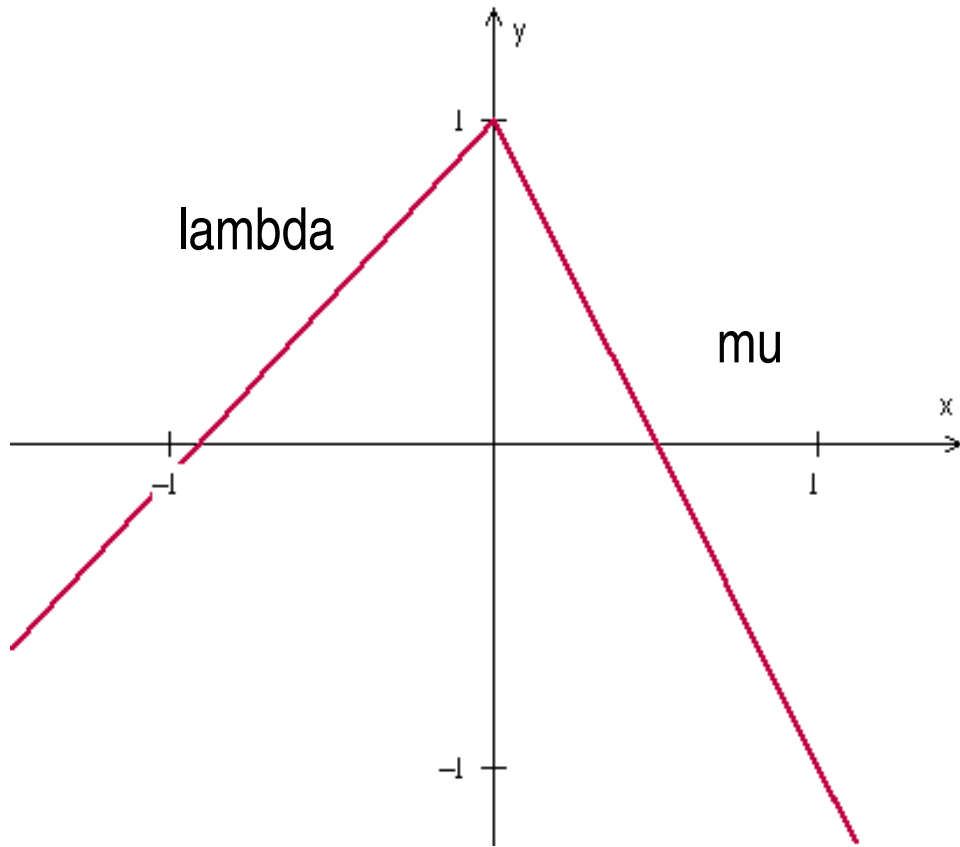


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The starting point of our paper is the question about the behavior of the function $h(\alpha) = h(\alpha, \beta)$.

The answer to the question about the behavior of the function $g(\beta) = h(\alpha, \beta)$ with a fixed α is known.

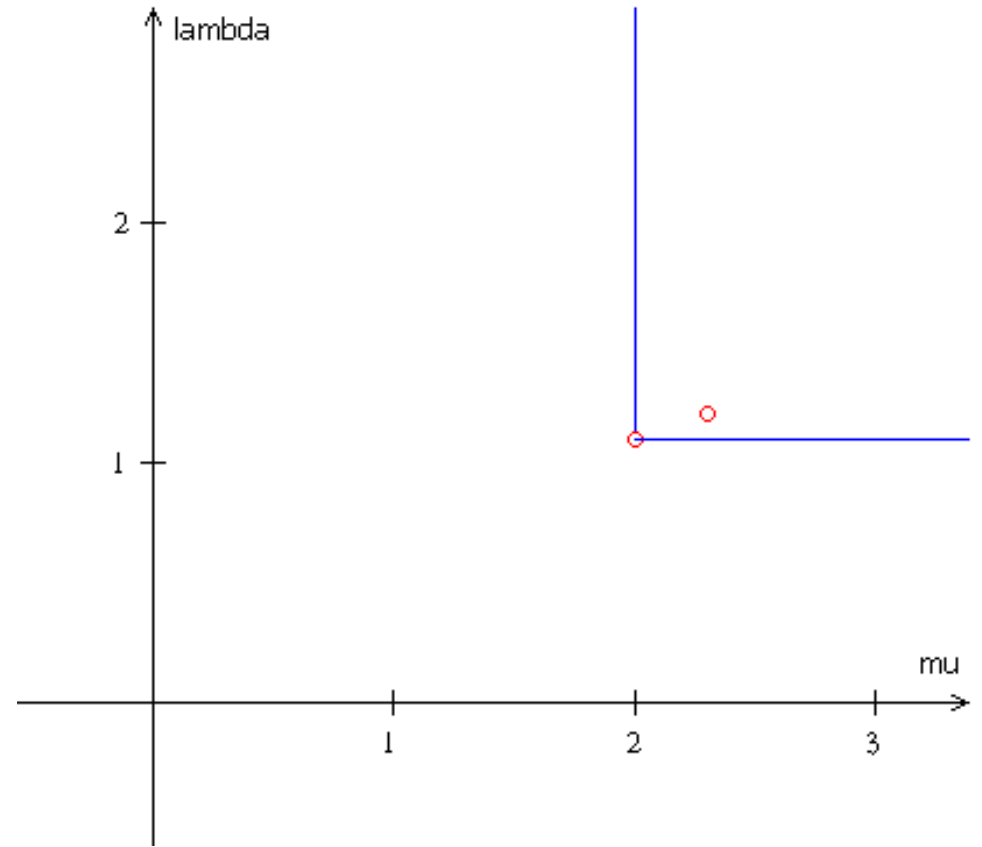
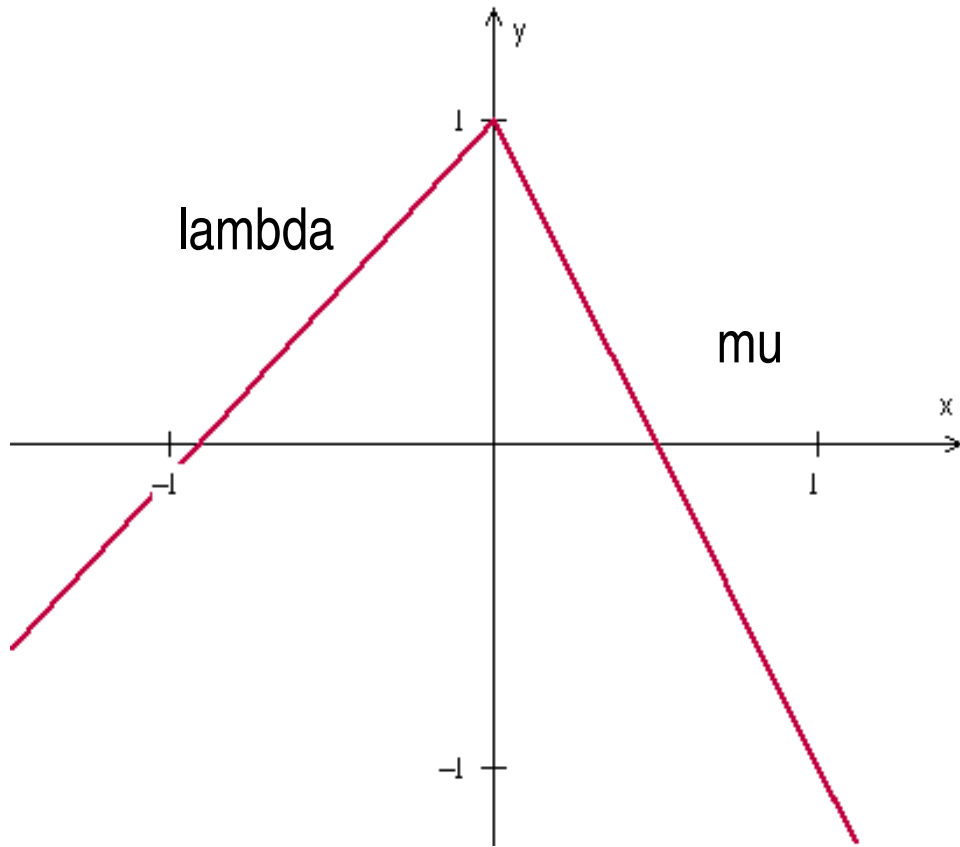
In the case of these β 's where the dynamics of $T_{\alpha, \beta}(x)$ is nontrivial the function $g(\beta)$ is monotone increasing.



Skew tent maps and topological entropy were considered by
M. Misiurewicz and E. Visinescu.

They used different parametrization:

$$F_{\lambda, \mu}(x) = \begin{cases} 1 + \lambda x & \text{if } x \leq 0 \\ 1 - \mu x & \text{if } x \geq 0 \end{cases} \text{ on } \mathbb{R}.$$



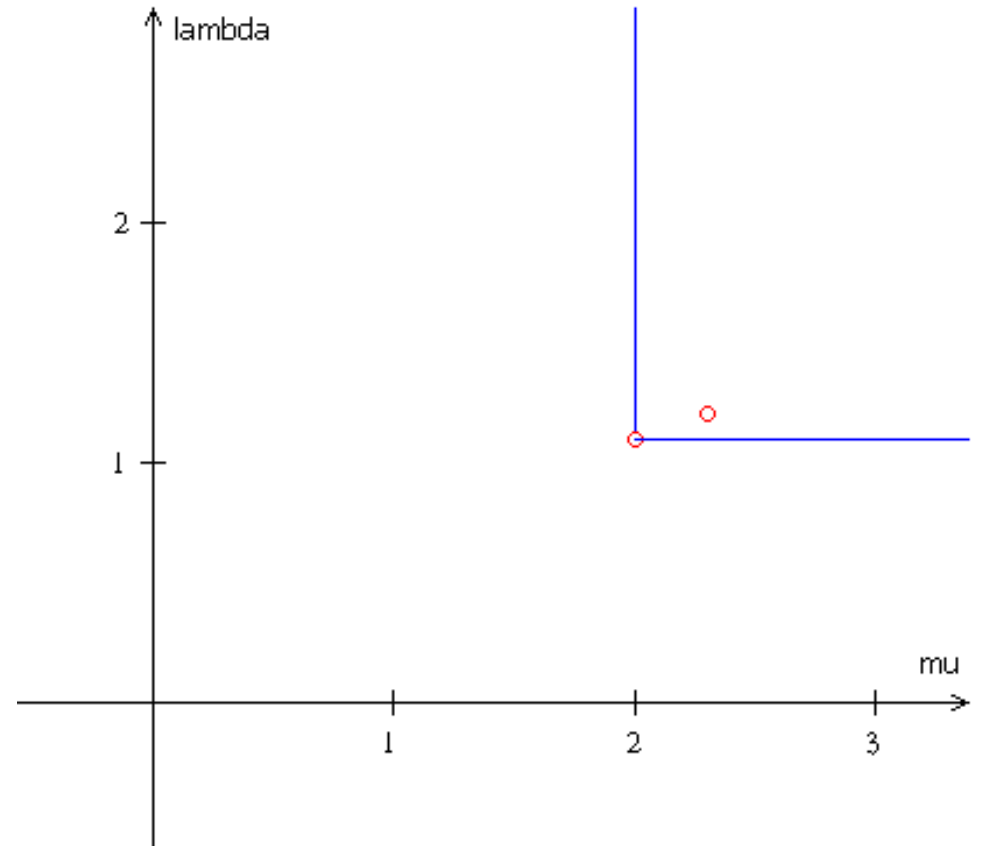
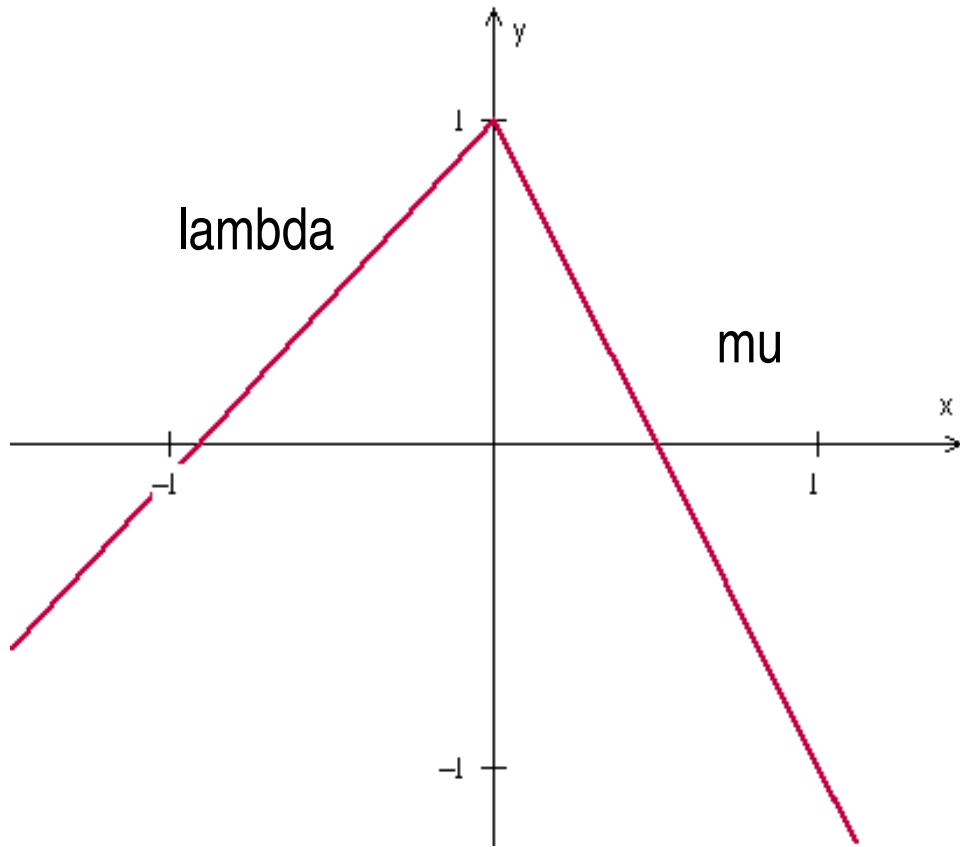
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It is rather easy to see that if $\lambda = \frac{\beta}{\alpha}$, $\mu = \frac{\beta}{1-\alpha}$ and $h(x) = (\beta - \alpha)x + \alpha$, $h^{-1}(x) = \frac{x-\alpha}{\beta-\alpha}$ then

$$F_{\lambda, \mu}(x) = (h^{-1} \circ T_{\alpha, \beta} \circ h)(x),$$

provided that we extend the definition of $T_{\alpha, \beta}$ onto \mathbb{R} in the obvious way.

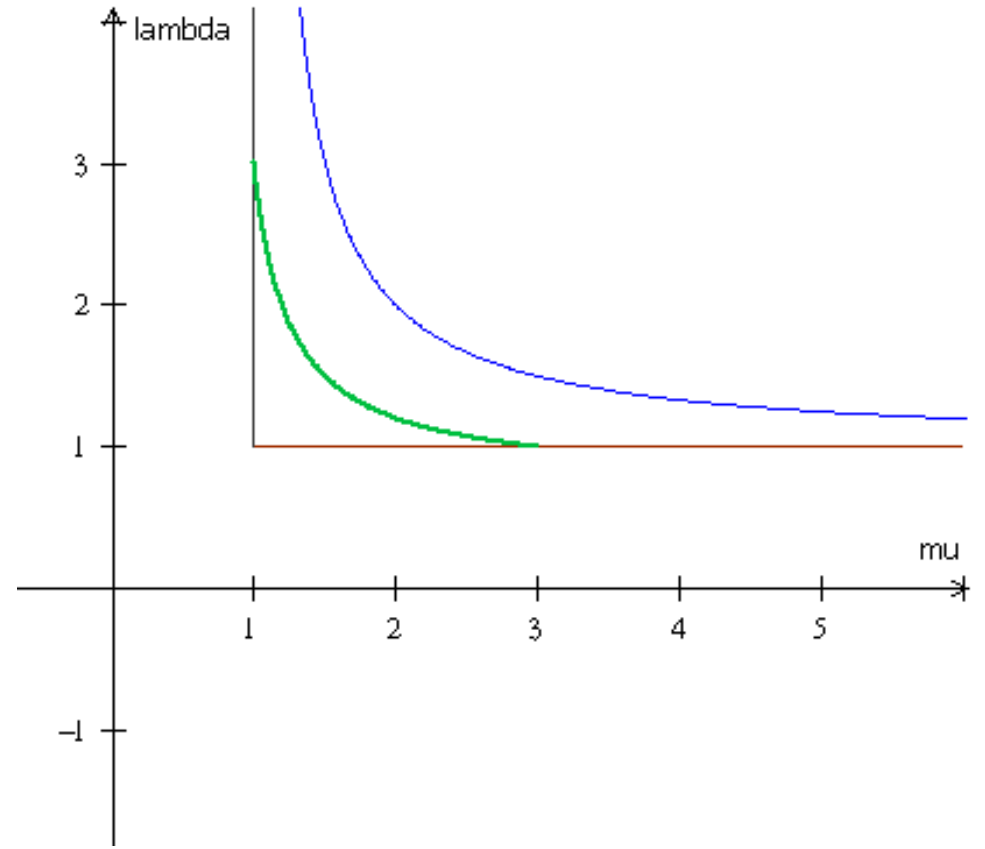
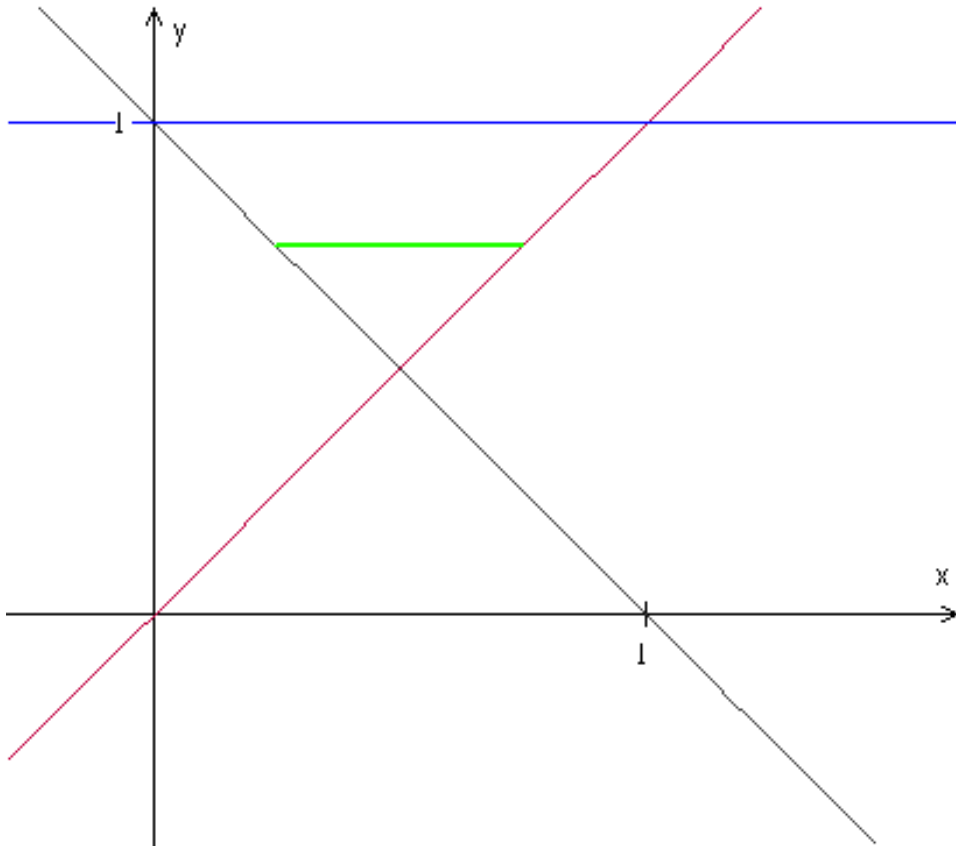


Results of **M. Misiurewicz** and **E. Visinescu** imply that if $\lambda' \geq \lambda$, $\mu' \geq \mu$ and at least one of these inequalities is sharp, then $h(F_{\lambda', \mu'}) > h(F_{\lambda, \mu})$

where $h(F_{\lambda, \mu})$ denotes the topological entropy of $F_{\lambda, \mu}$.

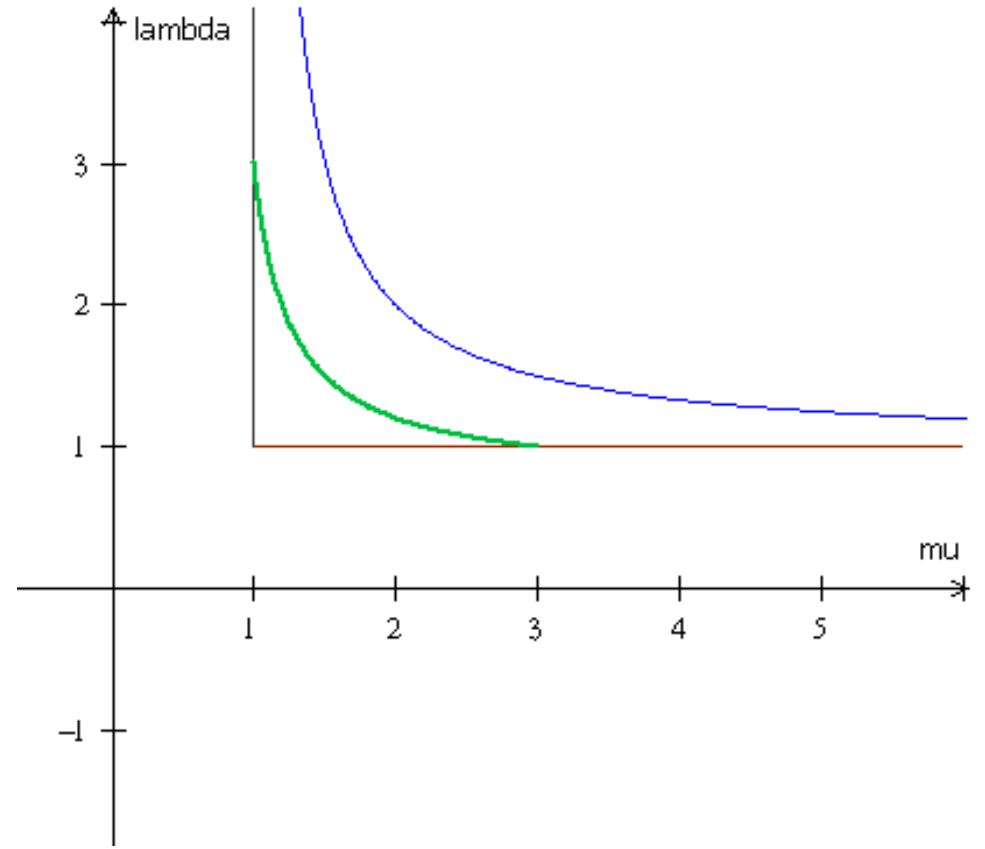
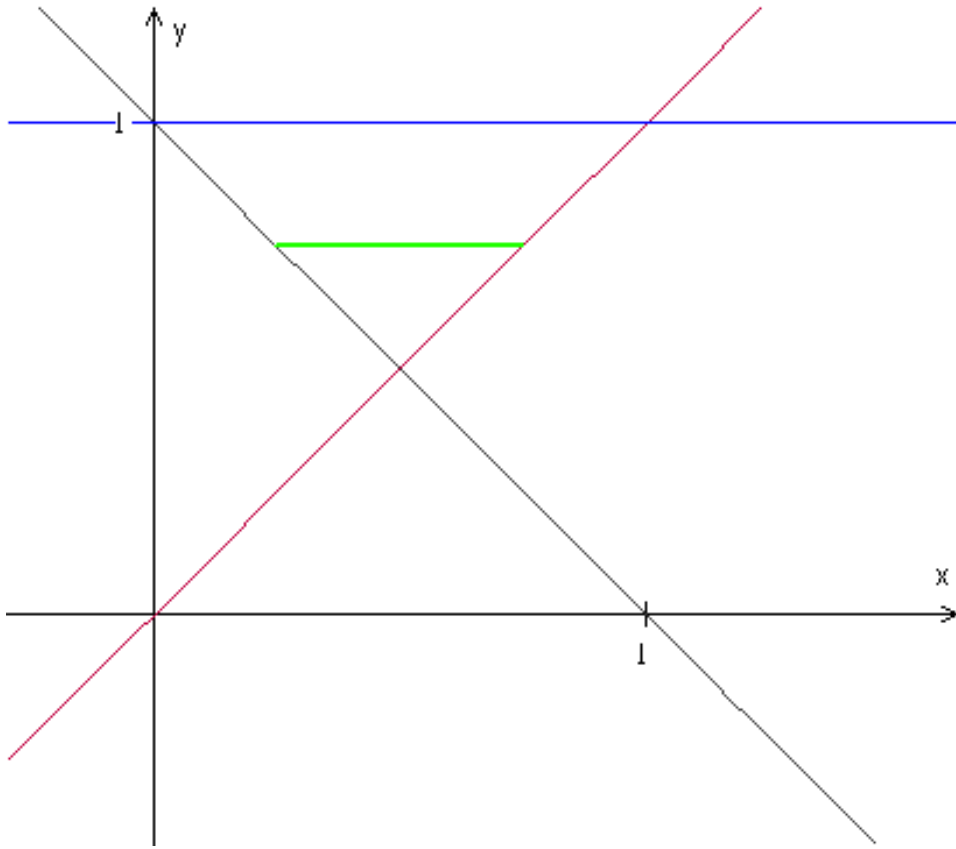
If β is fixed and α increases then $\lambda = \frac{\beta}{\alpha}$ decreases, while $\mu = \frac{\beta}{1-\alpha}$ increases.

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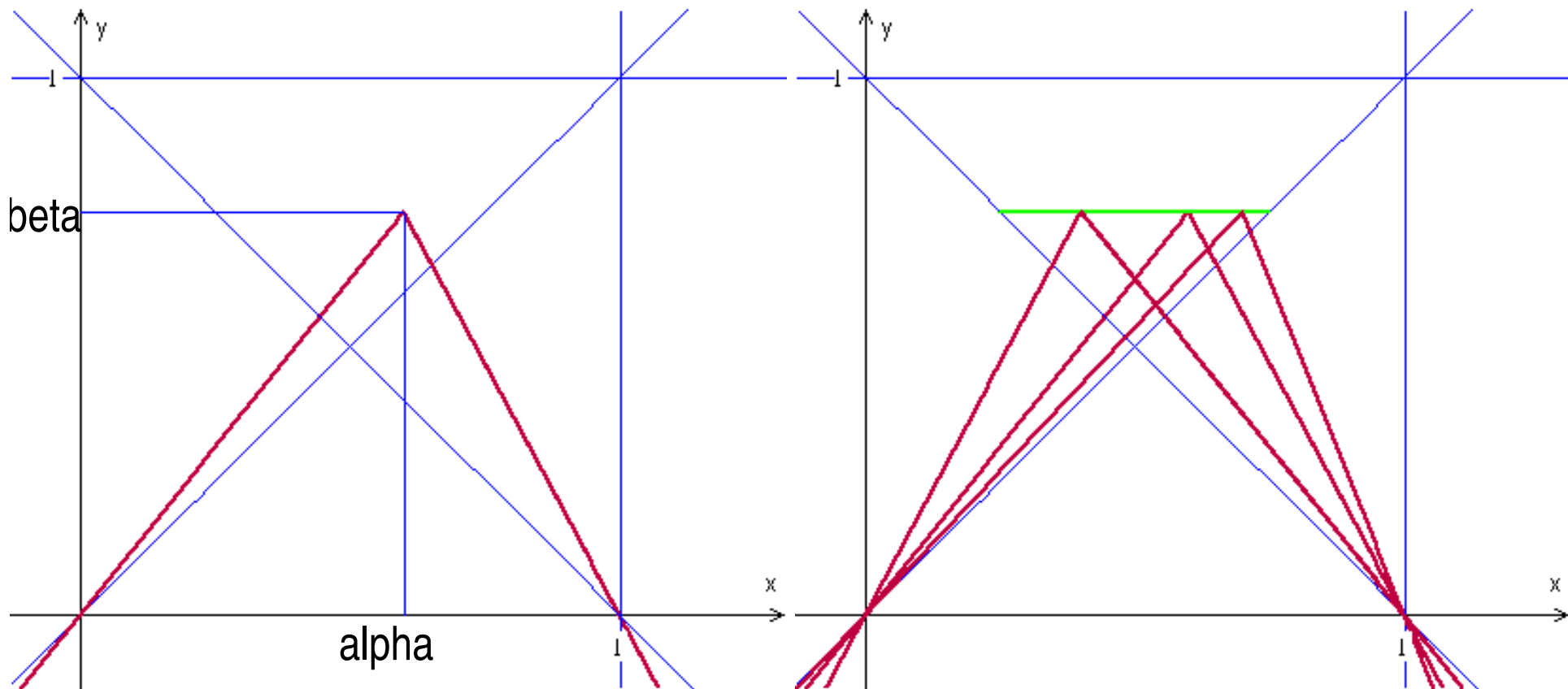
In fact, points $\lambda = \lambda(\alpha, \beta)$ and $\mu = \mu(\alpha, \beta)$ with fixed β satisfy $\frac{1}{\lambda} + \frac{1}{\mu} = \frac{1}{\beta}$, or $\lambda = \frac{1}{\frac{1}{\beta} - \frac{1}{\mu}}$ and hence λ is a monotone decreasing function of μ along curves in the (μ, λ) plane corresponding to horizontal line segments in the (α, β) plane.



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If α is fixed and β increases then both $\lambda = \frac{\beta}{\alpha}$ and $\mu = \frac{\beta}{1-\alpha}$ increase. Therefore, the monotonicity result in [MV] implies that all the functions $g(\beta) = h(\alpha, \beta)$ are monotone increasing in our parameter range.

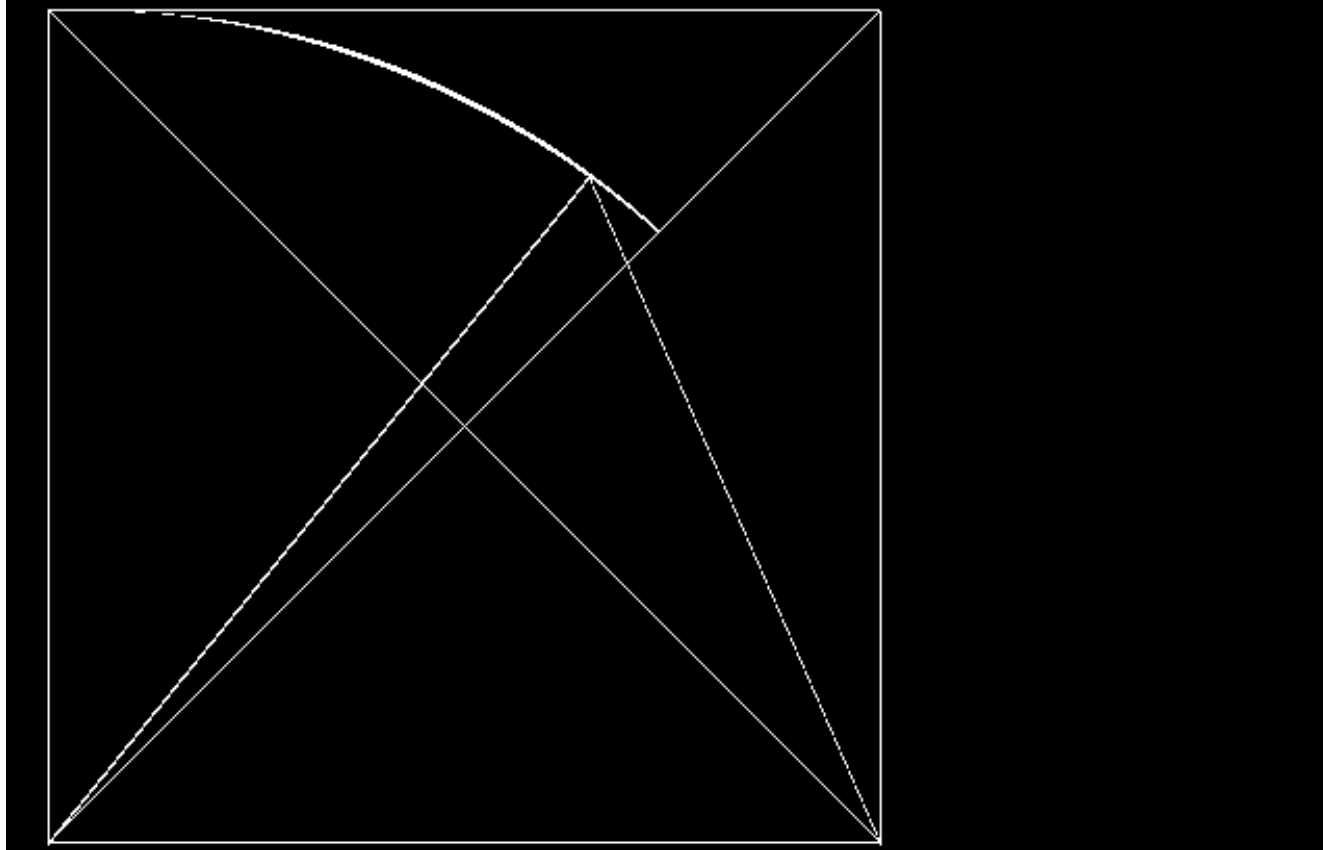
This parameter range $0.5 < \beta < 1$, $\alpha \in (1 - \beta, \beta)$ corresponds to the region bounded by the curves $\mu = 1$, $\lambda = 1$ and $\frac{1}{\mu} + \frac{1}{\lambda} = 1$ in the (μ, λ) -plane.



We prove:

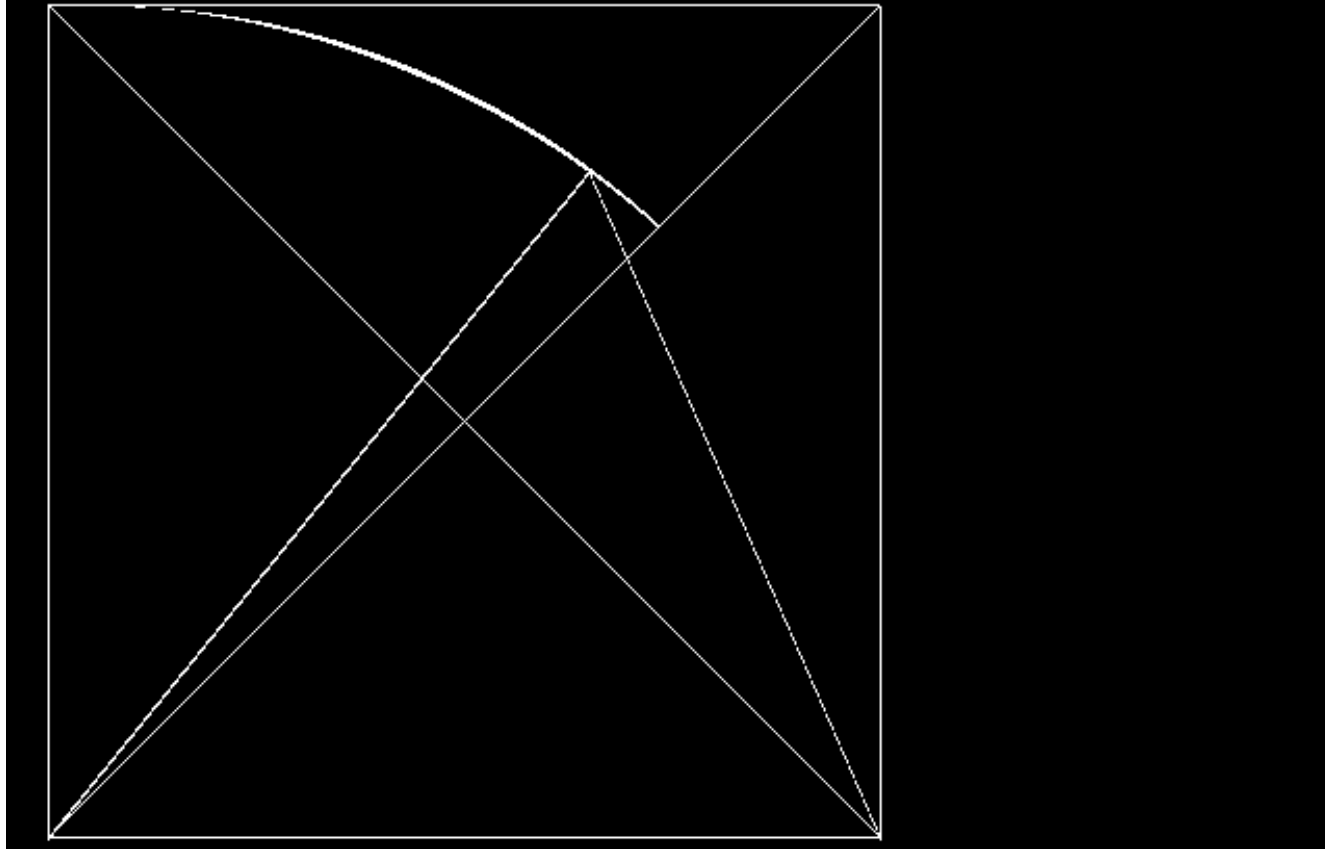
T.: For any fixed $\beta \in (0.5, 1)$ the function $h(\alpha) = h(\alpha, \beta)$ is strictly monotone increasing on $(1 - \beta, \beta)$.

RLRRRRLRLRRRLRLRLRLRLRRRLLRLRRRRRLRLRRLLRLRLRLRRRRRRLRLRRLLRLRLRLRLRL
alpha: .6499999761581421 beta: .8



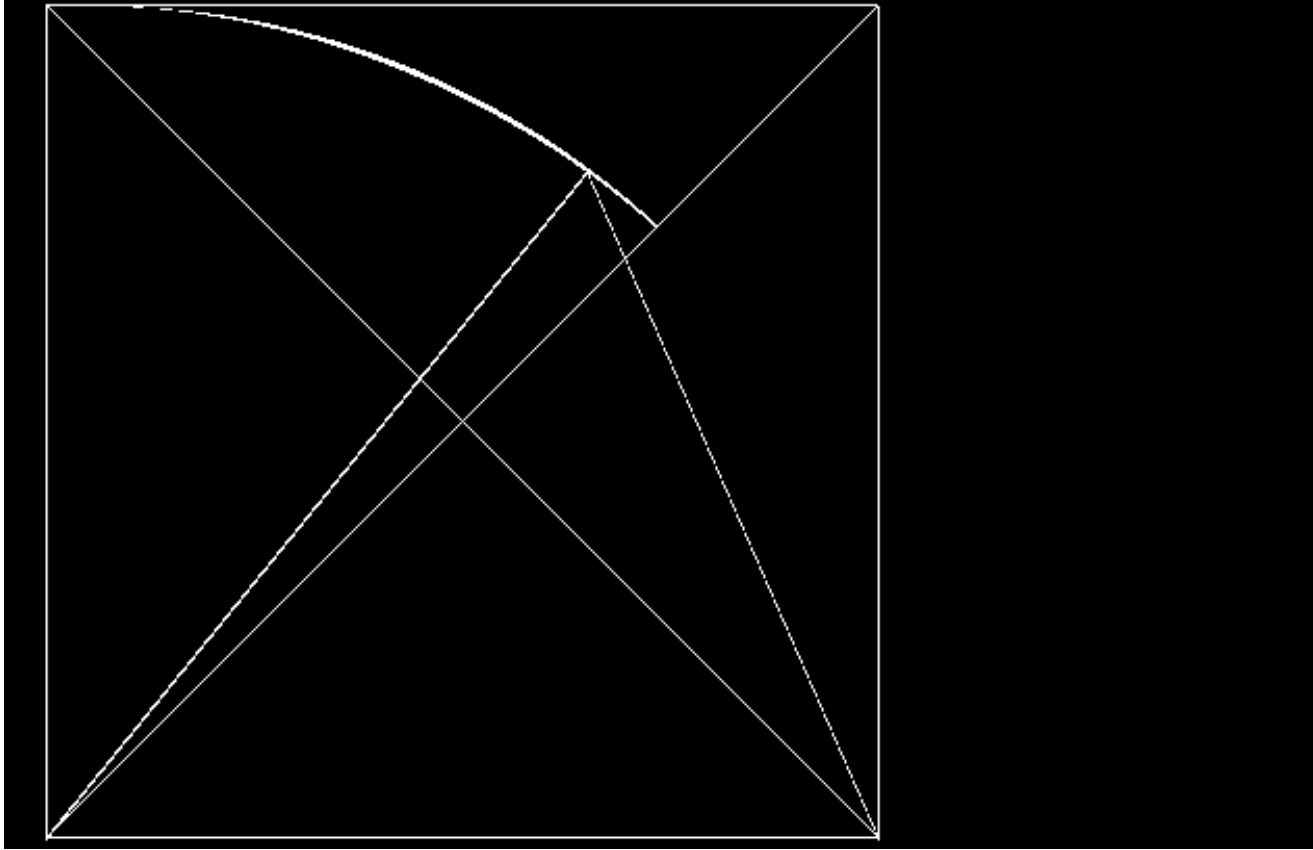
We denote by $K(\alpha, \beta)$ the kneading sequence of $T(\alpha, \beta)$.

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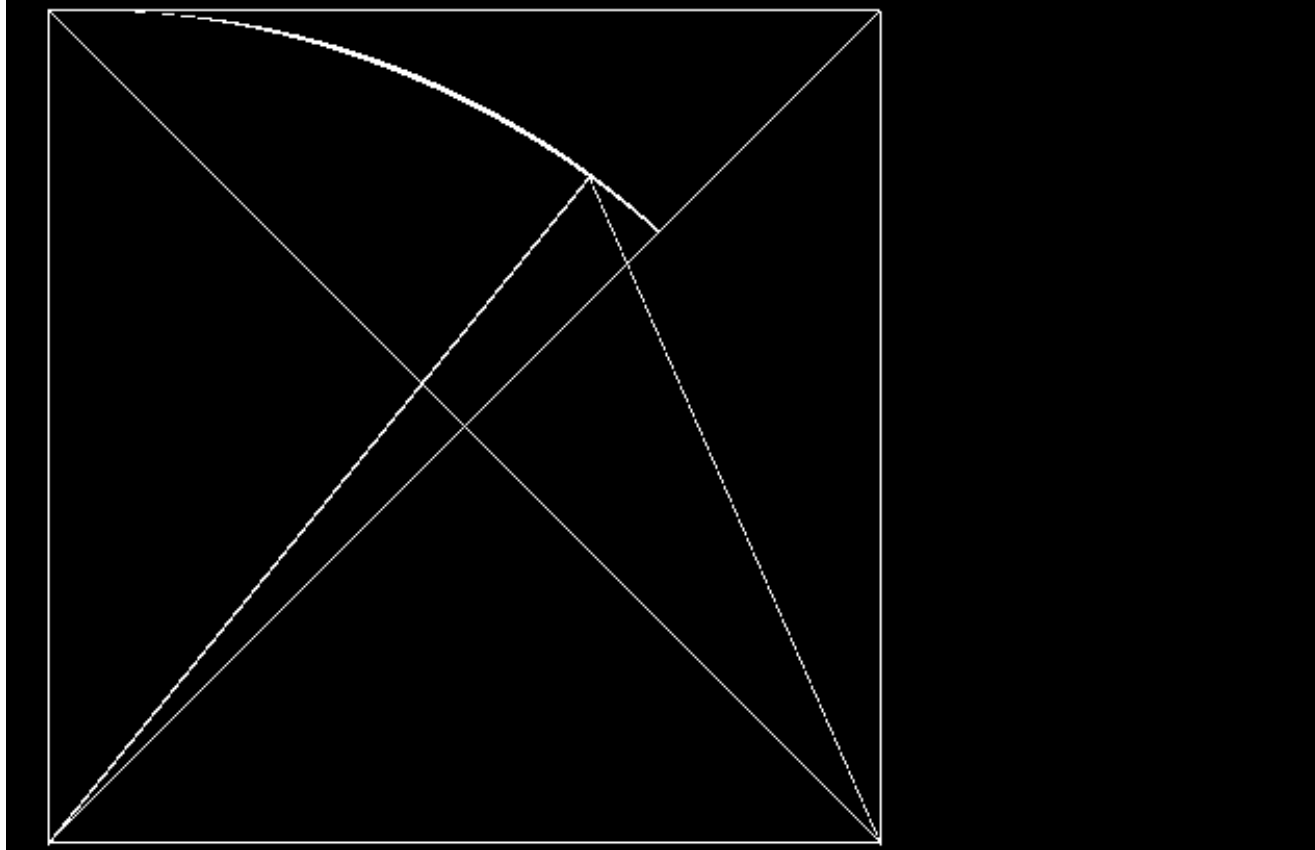
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If β is fixed we simply write $K(\alpha)$ and $T(\alpha)$.
If $K(\alpha) = A_1 A_2 \dots$ then $K_n(\alpha) = A_n \in \{R, L, C\}$.

RLLRRRRLRLRRRLRLRLRLRLRRRLLRRRRRLRLRRRLRLRLRLRRRRRLLRRRLLRLRLRLRRLLR
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We denote by \mathfrak{M} the class of kneading sequences $K(0.5, \beta)$, $\beta \in (0.5, 1]$, this corresponds to the kneading sequences of functions $F_{\mu, \mu}$ with $1 < \mu \leq 2$.

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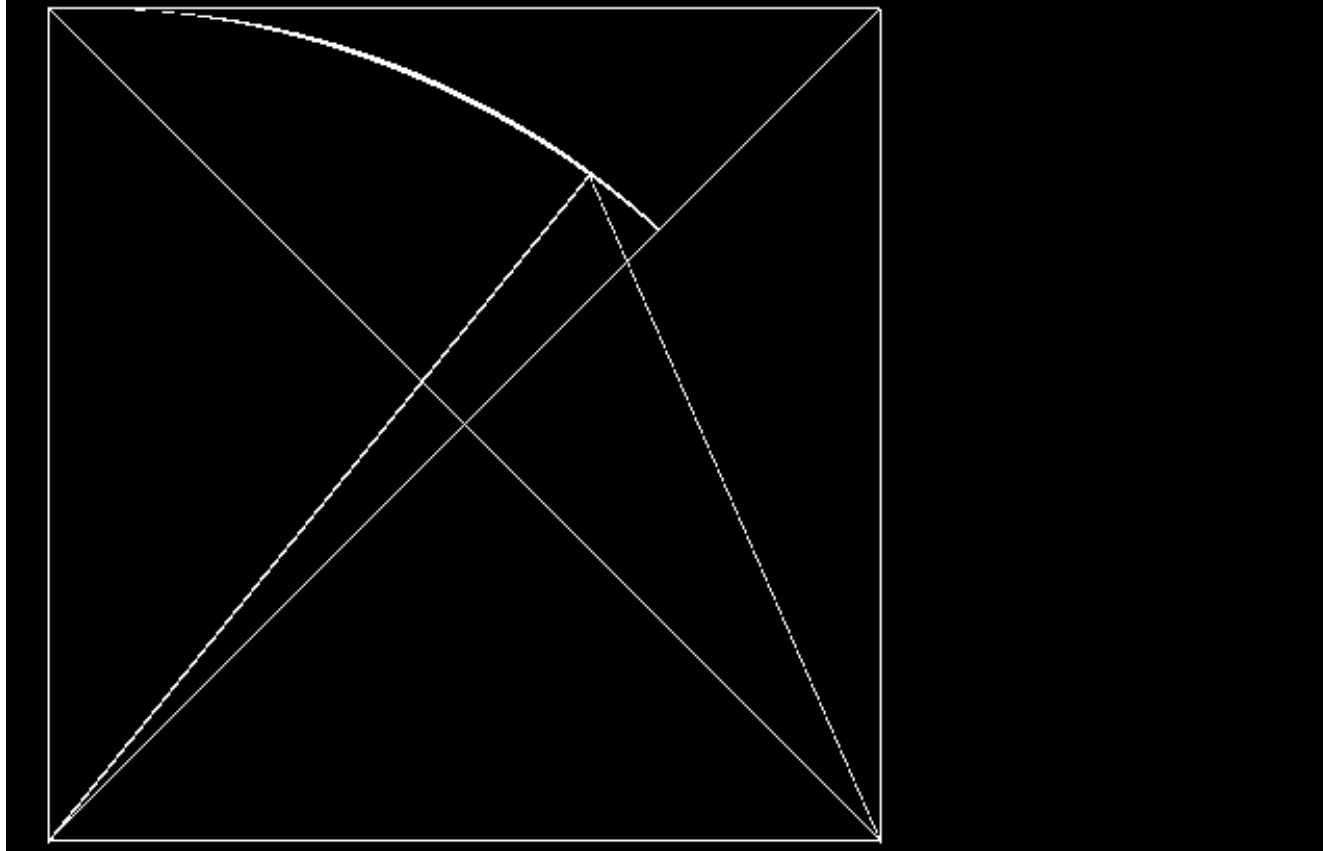


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Results of **M-V** imply: **T.**: For each $\underline{M} \in \mathfrak{M}$ there exist two numbers $\alpha_1(\underline{M}) < \alpha_2(\underline{M})$ and a continuous function $\Psi_{\underline{M}} : (\alpha_1(\underline{M}), \alpha_2(\underline{M})) \rightarrow U$ such that for $(\alpha, \beta) \in U$ we have $K(\alpha, \beta) = \underline{M}$ if and only if $\beta = \Psi_{\underline{M}}(\alpha)$.

The graphs of the functions $\Psi_{\underline{M}}$ fill up the whole set U . Moreover, $\lim_{\alpha \rightarrow \alpha_1(\underline{M})^+} \Psi_{\underline{M}}(\alpha) = 1$ if $\underline{M} \succcurlyeq RLR^\infty$. If $\underline{M} \prec RLR^\infty$ then the curve $(\alpha, \Psi_{\underline{M}}(\alpha))$ converges to a point on the line segment $\{(\alpha, 1 - \alpha) : 0 < \alpha < \frac{1}{2}\}$.

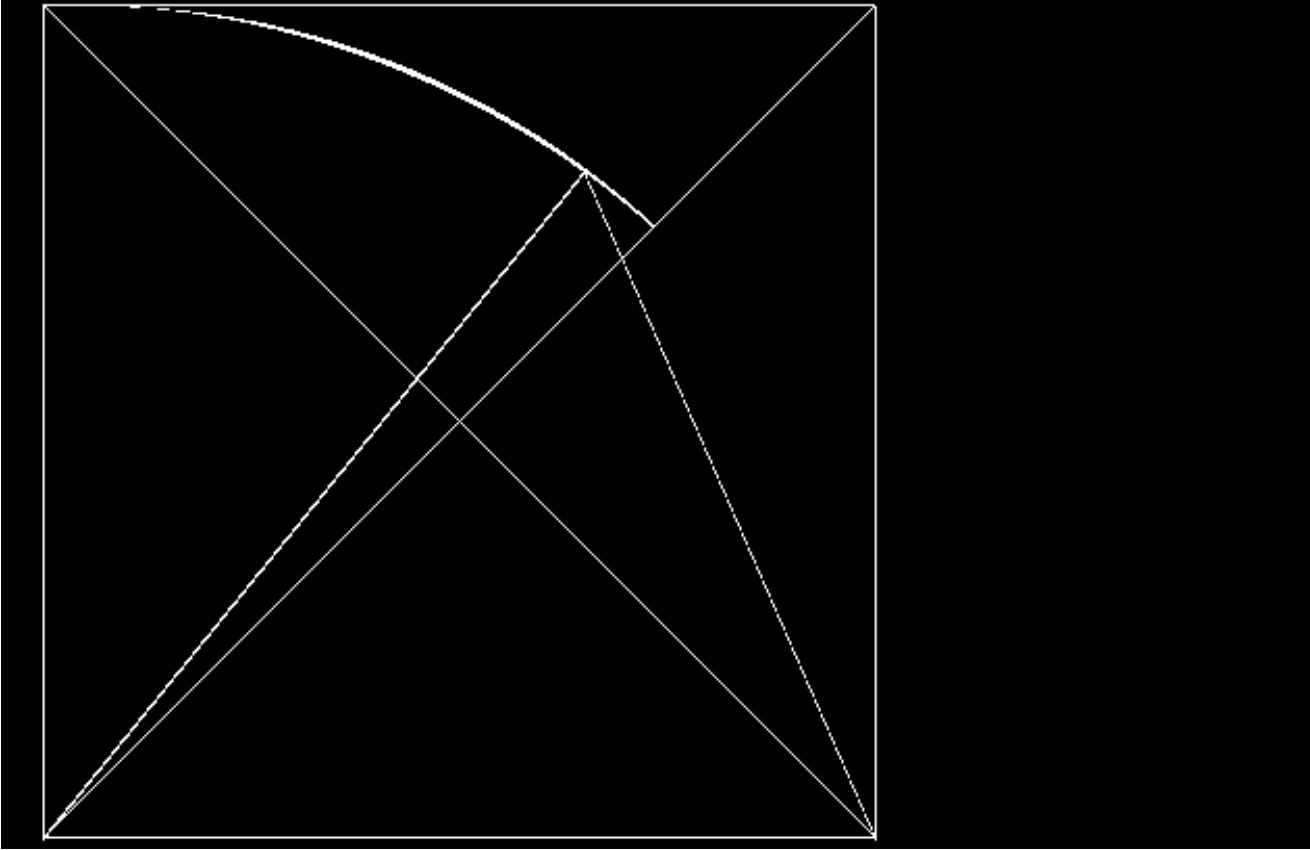
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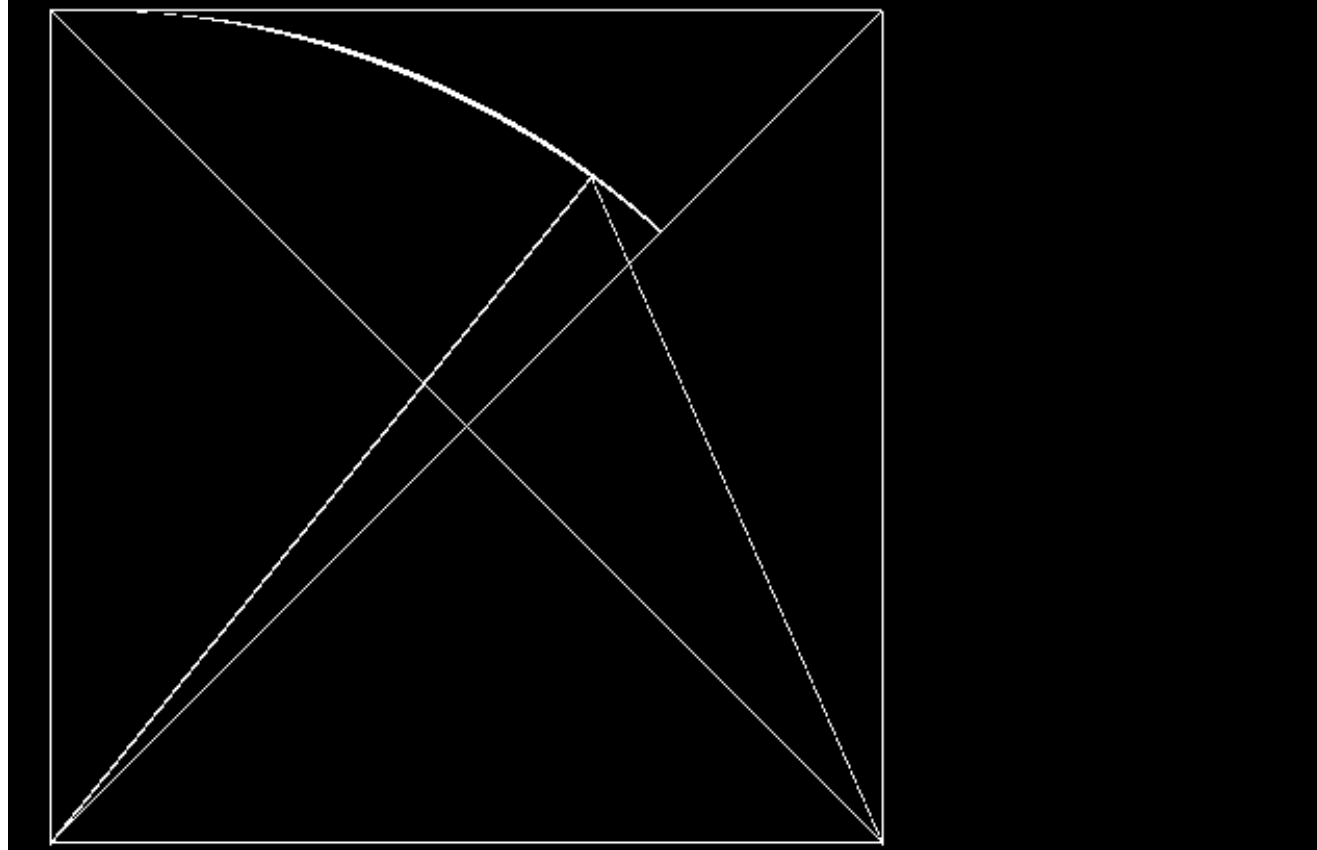
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RLRRRLRLRRRLRLRLRLRLRRRLRLRRRRRLRLRRLLRLRLRLRRRRRRLLRRLLRLRLRLRLR

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We prove:

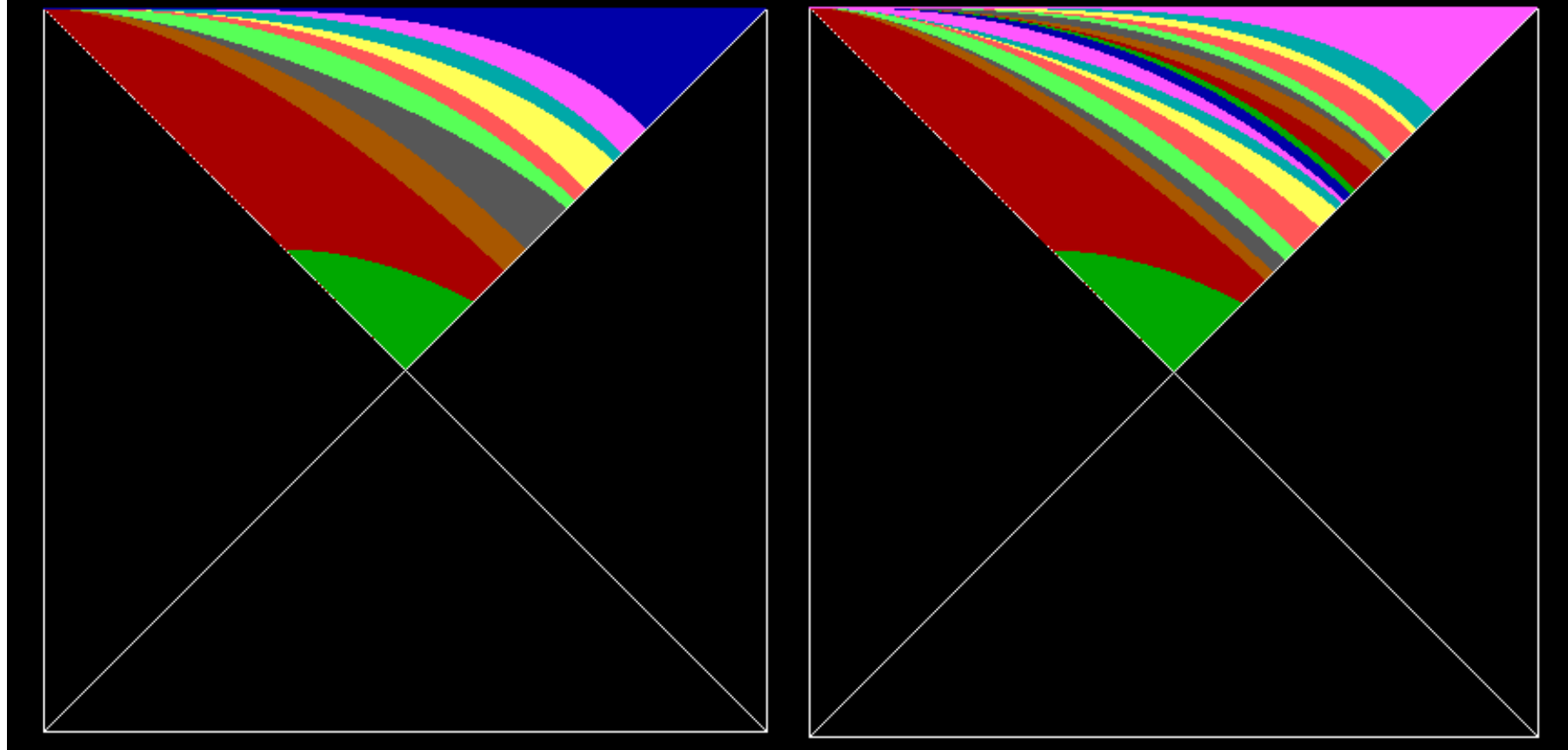
If $\underline{M} \in \mathfrak{M} \setminus \{RL^\infty\}$ then $\Psi_{\underline{M}}(\alpha)$ is strictly monotone decreasing.

This implies: The function $h(\alpha) = h(\alpha, \beta)$ is monotone increasing on $(1 - \beta, \beta)$.

We also show that close to $(1, 1)$ the curves $\Psi_{\underline{M}}(\alpha)$ are almost perpendicular to the $y = x$ line.

number of identical terms = 6

number of identical terms = 7



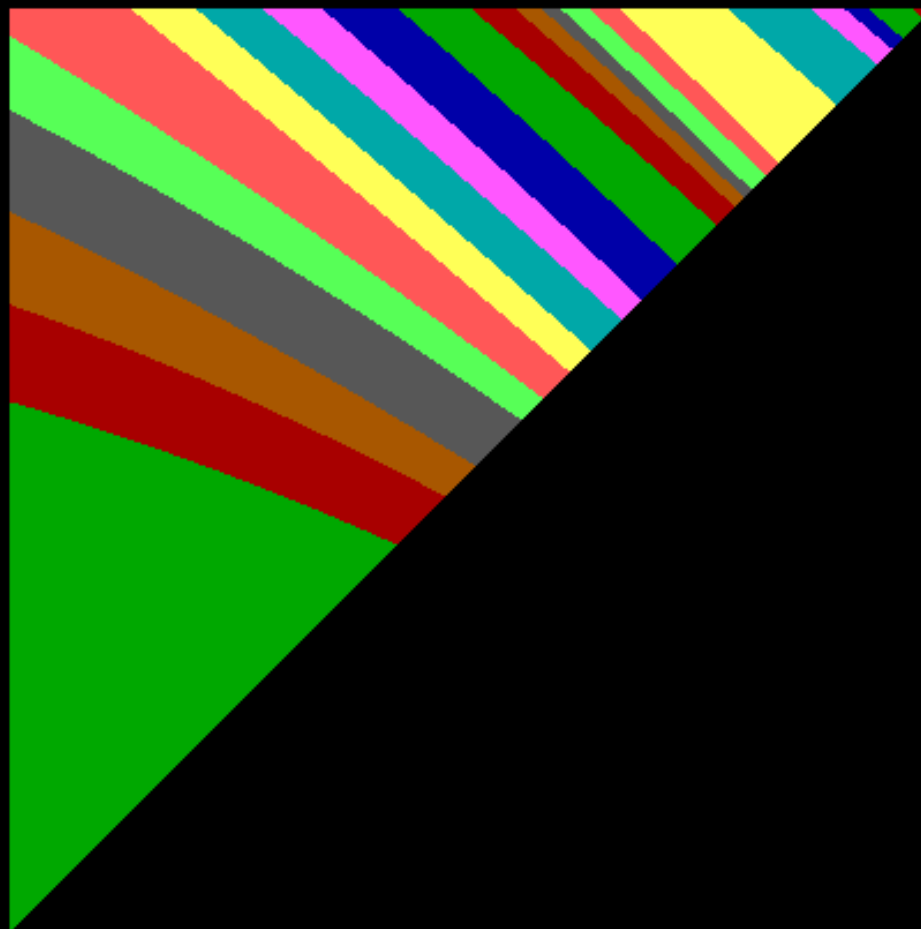
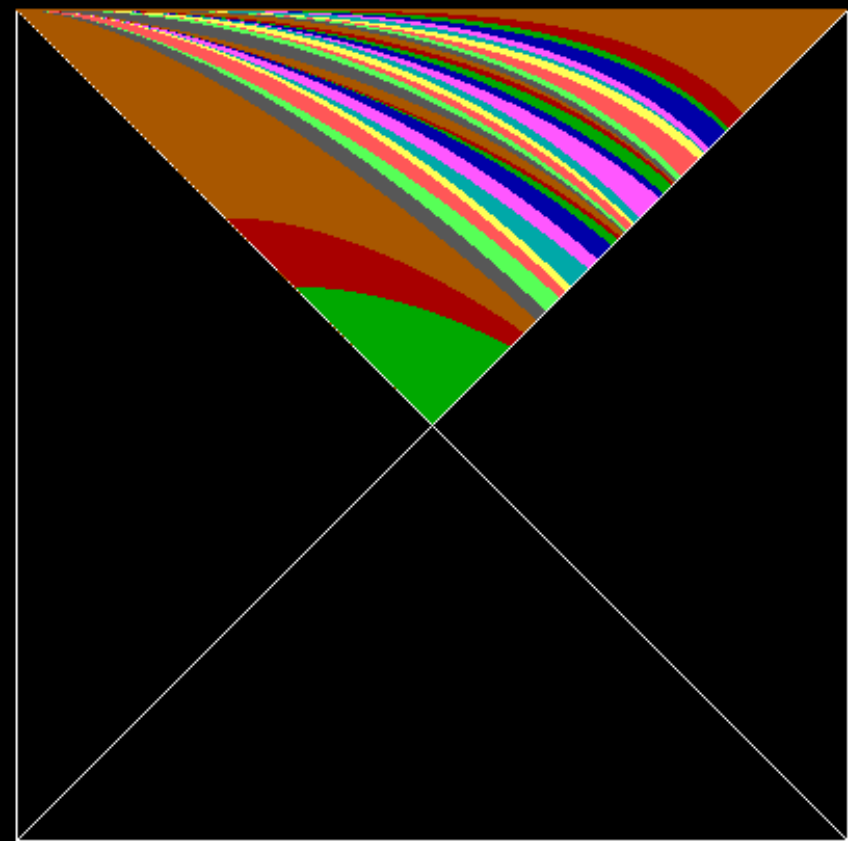
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number of identical terms = 8

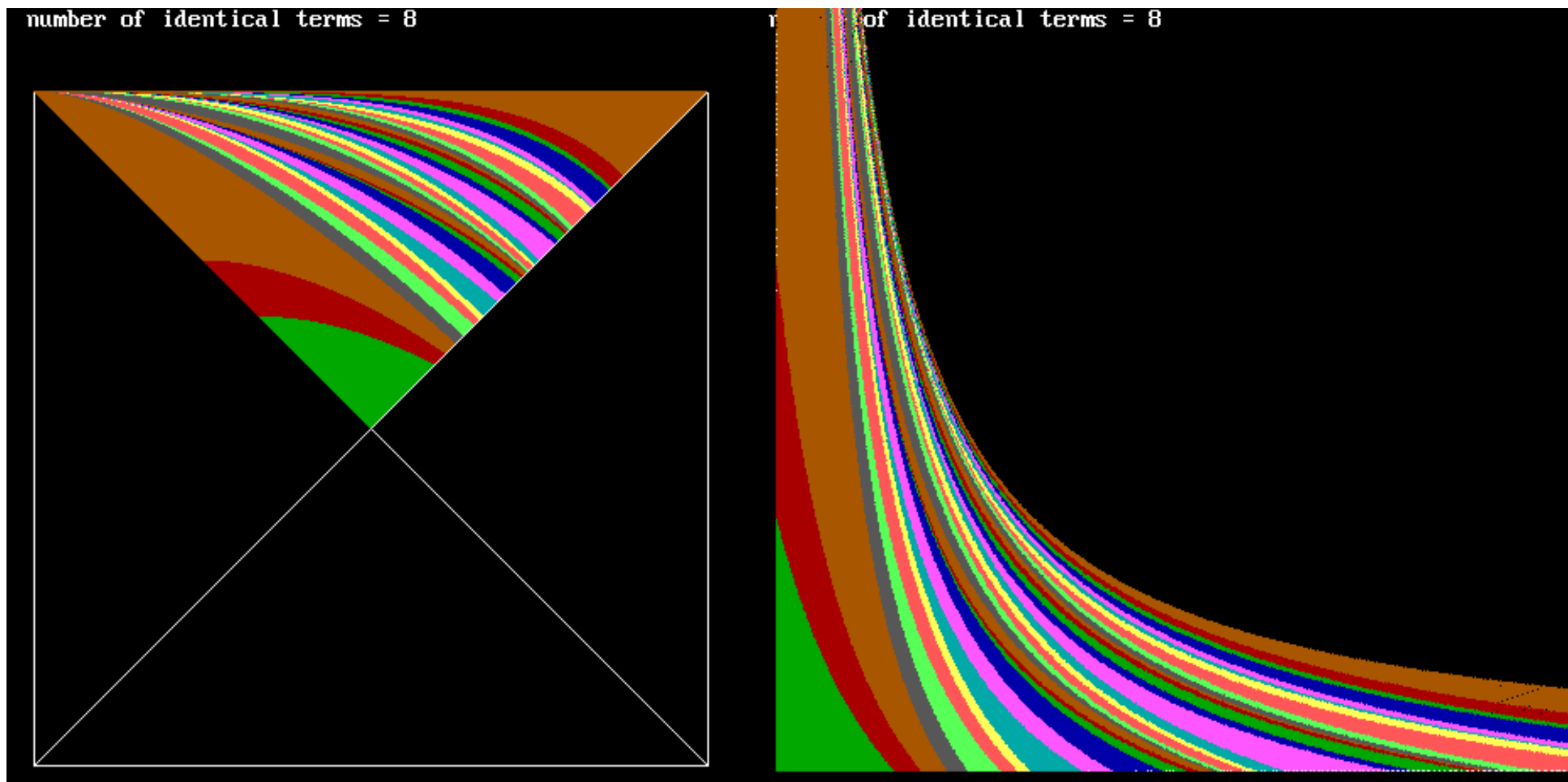


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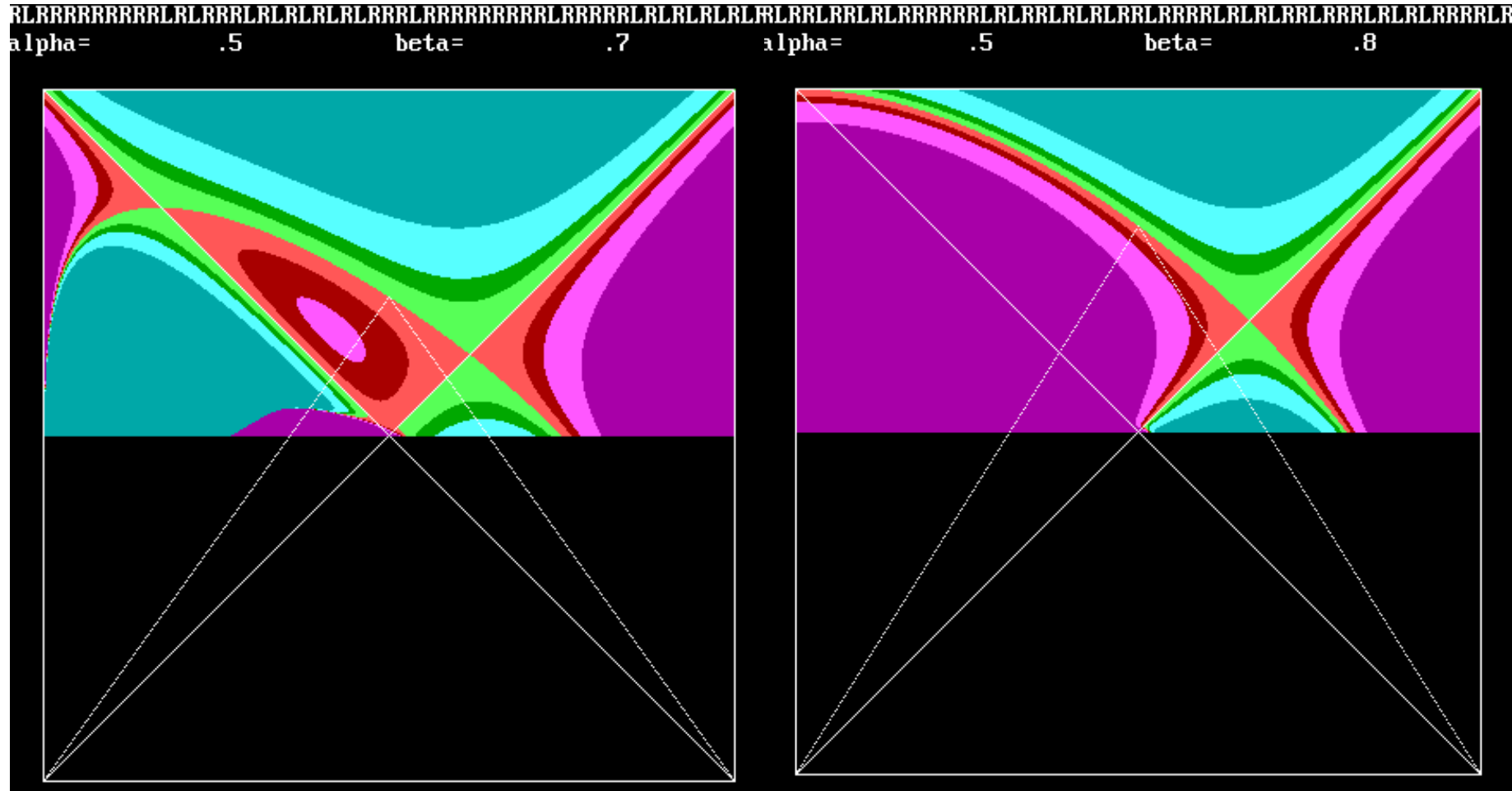
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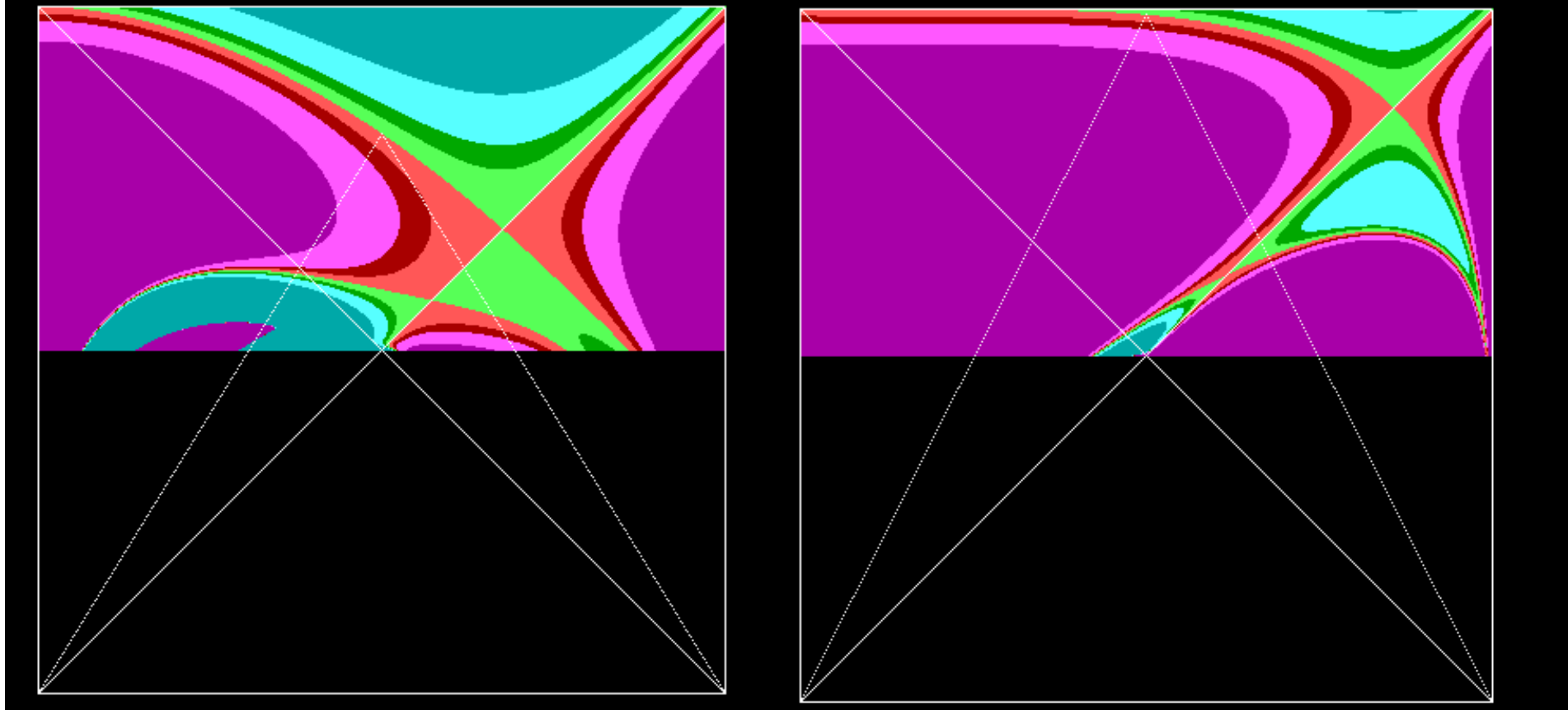


Equi-kneading regions by using the „square-parametrization” and by the parametrization used in the Misiurewicz–Visinescu paper.



\underline{L} .: Suppose $\underline{M} \in \mathfrak{M} \setminus \{RL^\infty\}$ is given. Then there exists a function $\Theta_{\underline{M}}(\alpha, \beta), \Theta_{\underline{M}} : U \rightarrow \mathbb{R}$, such that for $(\alpha, \beta) \in U$ from $K(\alpha, \beta) = \underline{M}$ it follows that $\Theta_{\underline{M}}(\alpha, \beta) = 0$. (Limitations on reverse implication!)

alpha= .5 beta= .815000000000 alpha= .5 beta= .99179142171225

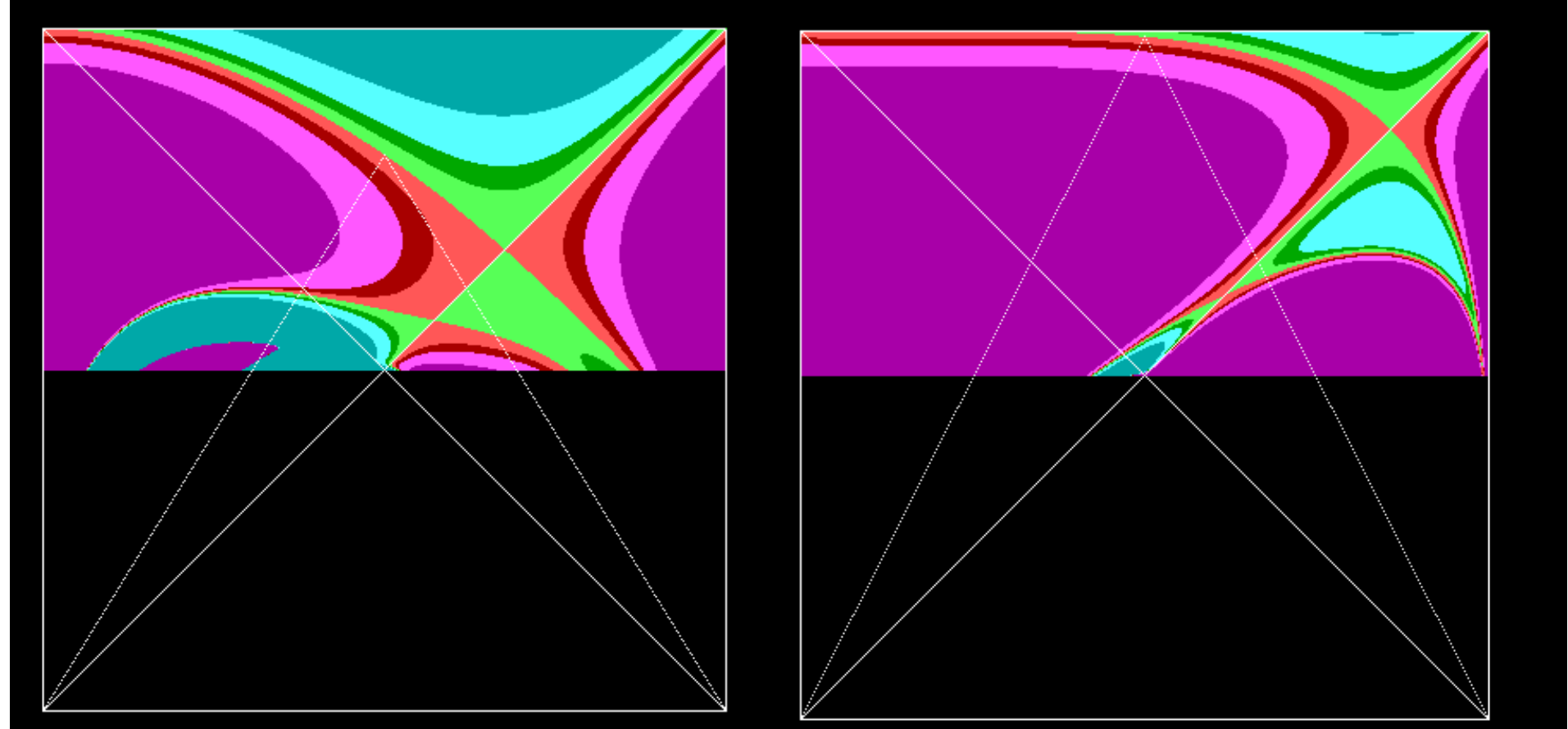


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Moreover,
$$\Theta_{\underline{M}}(\alpha, \beta) = 1 - \beta + \sum_{k=1}^{\infty} \left(\frac{\alpha - 1}{\beta}\right)^k \left(\frac{\alpha}{\beta}\right)^{m_k}$$

where $m_1 > 0, m_k \leq m_{k+1} \leq m_k + m_1, k = 0, 1, \dots$

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where $m_1 > 0, m_k \leq m_{k+1} \leq m_k + m_1, k = 0, 1, \dots$.
 If $K(\alpha, \beta) \in \mathfrak{M}_{R,\infty}$ then there exists n s. t. $m_{k+1} = m_k$ for $k \geq n$.

If \underline{M} is infinite let $\underline{M}' = \underline{M}$ if \underline{M} is finite, $\underline{M} = A_1 \dots A_{n-1} C$ then let $\underline{M}' = A_1 \dots A_{n-1} L A_1 \dots A_{n-1} L \dots$

Recall that $A_1 = R$ and $A_2 = L$ for all $\underline{M} = K(\alpha, \beta), (\alpha, \beta) \in U$.

If $\underline{M} \notin \mathfrak{M}_{R,\infty}$ than there are infinite sequences $(\lambda_j), (h_j)$ s. t. in \underline{M}' the first R is followed by λ_1 many L 's, then there are h_1 many R 's then λ_2 many L 's, then h_2 many R 's etc., that is,

$$\underline{M}' = R \underbrace{L \dots L}_{\lambda_1} \underbrace{R \dots R}_{h_1} \underbrace{L \dots L}_{\lambda_2} \underbrace{R \dots R}_{h_2} \dots$$

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$\Theta_{\underline{M}}(\alpha, \beta)$ can be written as

$$\Theta_{\underline{M}}(\alpha, \beta) = (1 - \beta) + \left(\frac{\alpha - 1}{\beta}\right) \left(\frac{\alpha}{\beta}\right)^{\lambda_1} + \dots + \left(\frac{\alpha - 1}{\beta}\right)^{h_1} \left(\frac{\alpha}{\beta}\right)^{\lambda_1} +$$

$$\dots + \left(\frac{\alpha - 1}{\beta}\right)^{h_1 + \dots + h_{n-1} + 1} \left(\frac{\alpha}{\beta}\right)^{\lambda_1 + \dots + \lambda_n} + \dots + \left(\frac{\alpha - 1}{\beta}\right)^{h_1 + \dots + h_n} \left(\frac{\alpha}{\beta}\right)^{\lambda_1 + \dots + \lambda_n} + \dots$$

Recall:

$$\Theta_{\underline{M}}(\alpha, \beta) = 1 - \beta + \sum_{k=1}^{\infty} \left(\frac{\alpha - 1}{\beta} \right)^k \left(\frac{\alpha}{\beta} \right)^{m_k}$$

where $m_1 > 0$, $m_k \leq m_{k+1} \leq m_k + m_1$, $k = 0, 1, \dots$.

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L.: Suppose $\underline{M} \in \mathfrak{M} \setminus \{RL^\infty\}$, $\frac{1}{2} < \beta < 1$ is fixed. Then $\Theta(\alpha) = \Theta_{\underline{M}}(\alpha) = \Theta_{\underline{M}}(\alpha, \beta)$ is analytic on $(1 - \beta, \beta)$.

Recall:

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We need this to verify:

L.: For any $\underline{M} \in \mathfrak{M} \setminus \{RL^\infty\}$ the curve $(\alpha, \Psi_{\underline{M}}(\alpha))$, $\alpha \in (\alpha_1(\underline{M}), \alpha_1(\underline{M}))$ does not contain horizontal intervals.

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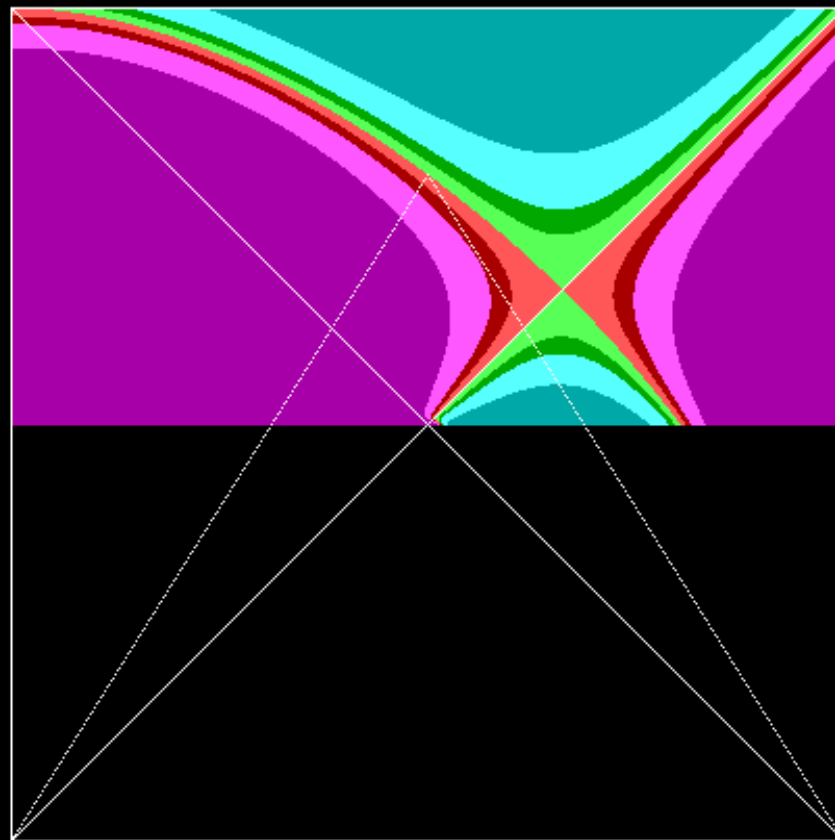
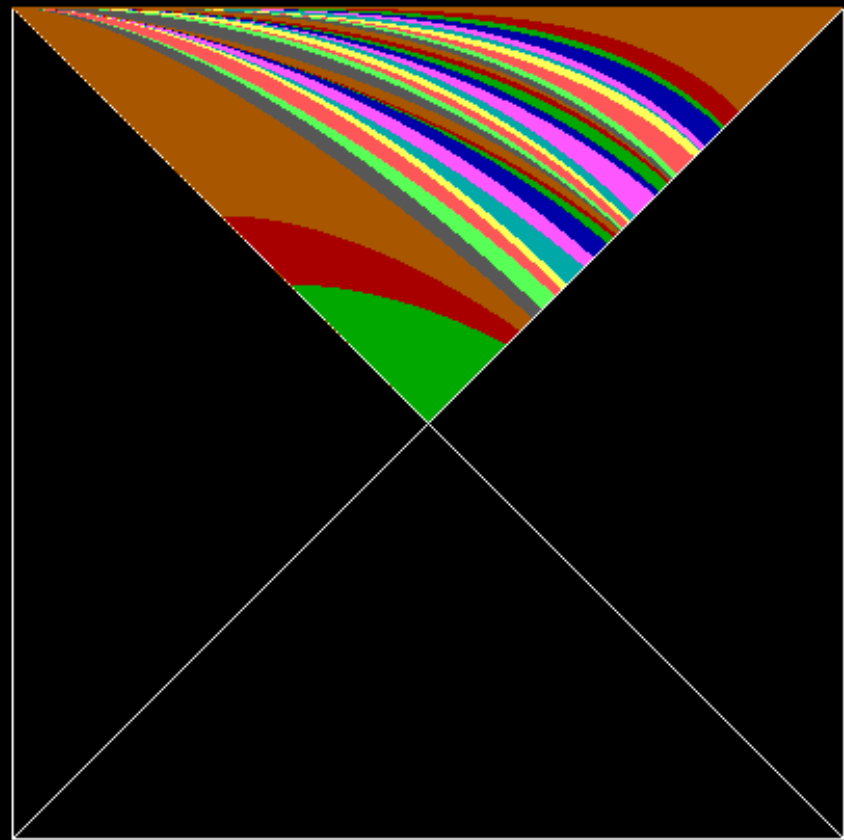
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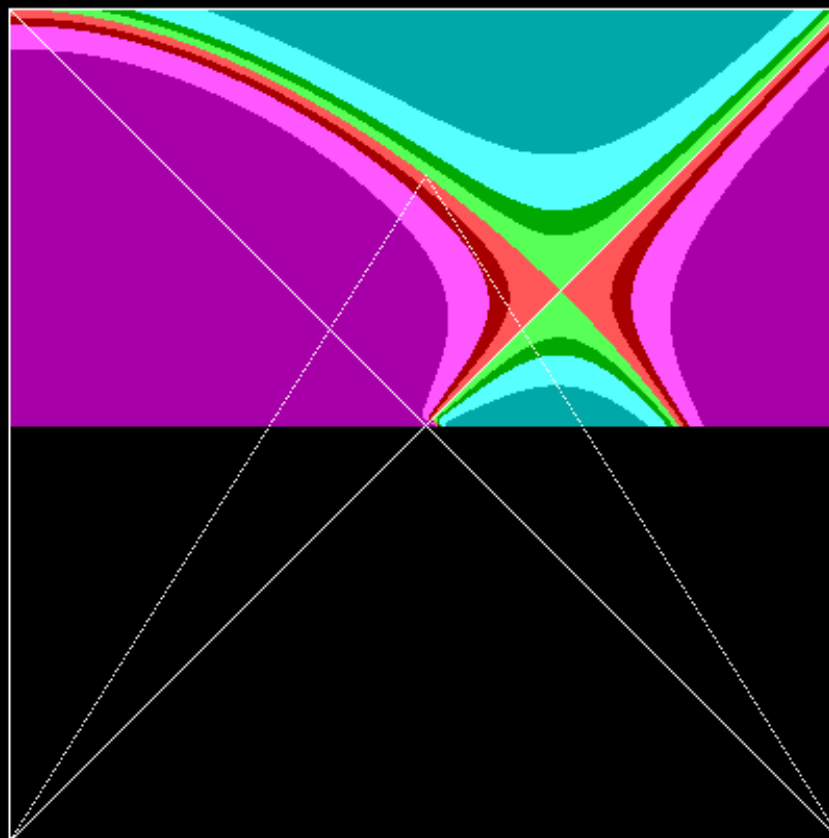
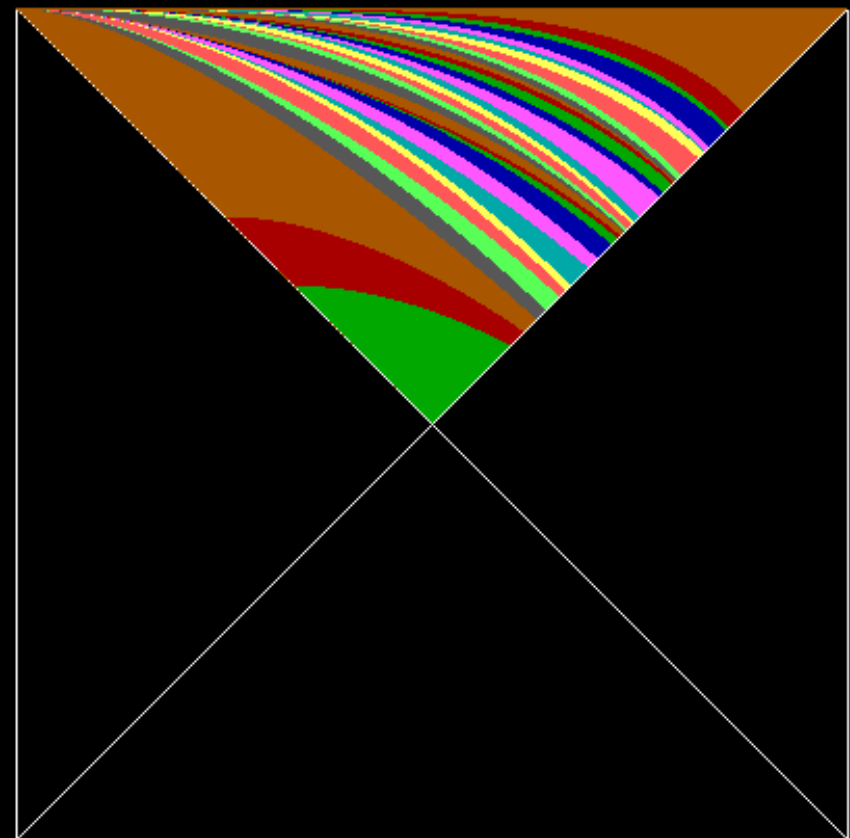
number of identical terms = 8

RLRRLRLRLRRRRLRLRRLRLRLRRLRRRRLRLRLRRLRRRRLRLRLRRLR
alpha= .5 beta= .8



We prove that for $\underline{M} \in \mathfrak{M} \setminus \{RL^\infty\}$ the curves $(\alpha, \Psi_{\underline{M}}(\alpha))$, $\alpha \in (\alpha_1(\underline{M}), \alpha_2(\underline{M}))$ are strictly monotone decreasing.

Since $\frac{1}{2} < \Psi_{\underline{M}}(\frac{1}{2}) < 1$ these curves reach the boundary of U at a point on the line segment $\{(\beta, \beta) : \frac{1}{2} < \beta < 1\}$, in fact $\beta_{\underline{M}} = \alpha_2(\underline{M})$ and $\lim_{\alpha \rightarrow \alpha_2(\underline{M})} \Psi_{\underline{M}}(\alpha) = \beta_{\underline{M}}$.



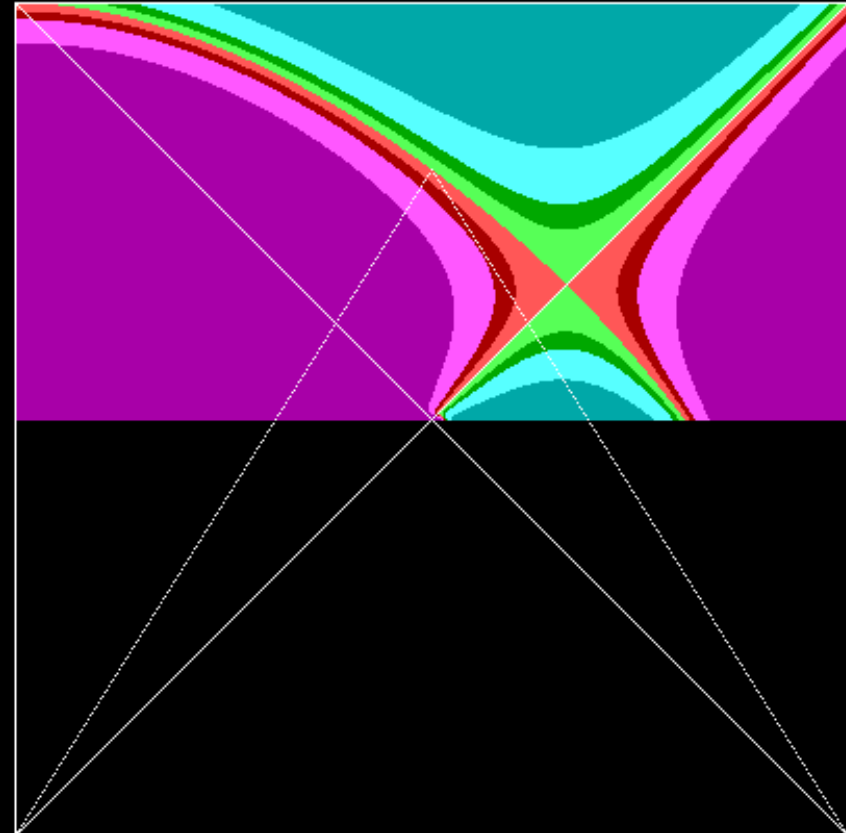
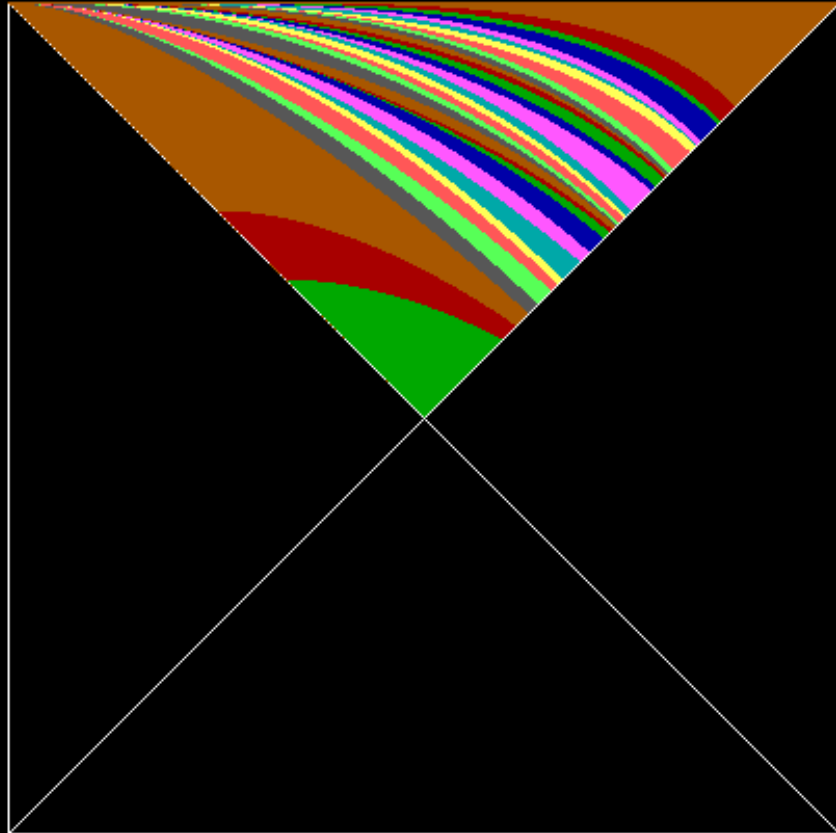
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Reversing our notation for $\beta_0 \in (\frac{1}{2}, 1)$ now we denote by Ψ^{β_0} the curve with the property $\lim_{\alpha \rightarrow \beta_0^-} \Psi^{\beta_0}(\alpha) = \beta_0$.

number of identical terms = 8

RLRRLRLRLRRRRRRLRLRRLRLRLRRLRRRRLRLRLRRLRRRRLRLRLRRLR
alpha= .5 beta= .8

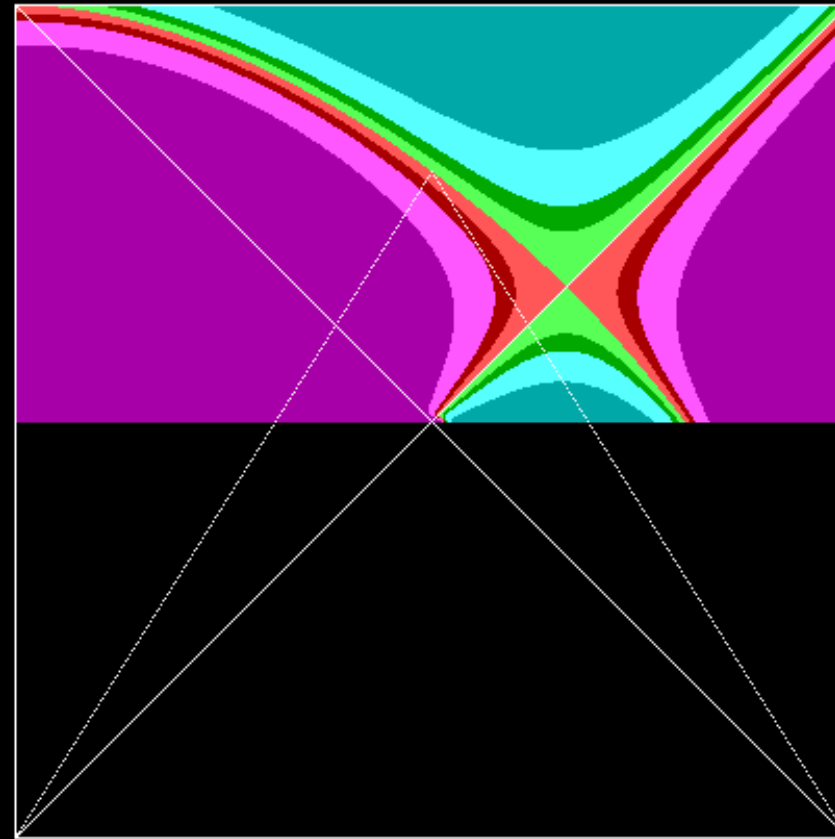
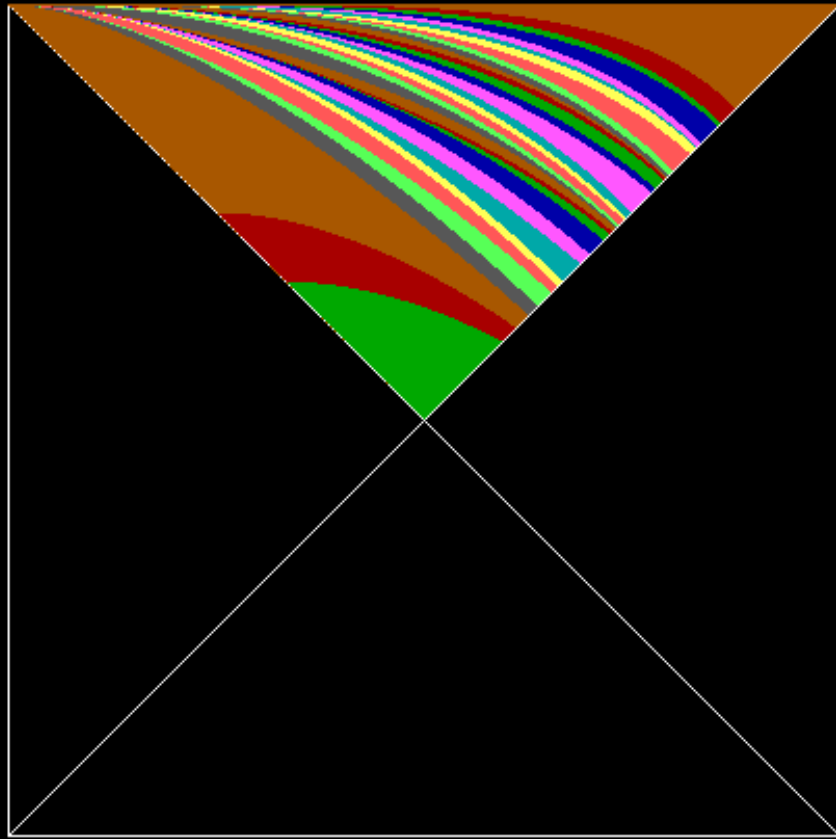


In fact, we can extend the definition of Ψ^β by setting $\Psi^{\beta_0}(\beta_0) = \beta_0$.
Moreover, we show that $\Theta_{\underline{M}_{\beta_0}}(\alpha, \Psi^{\beta_0}(\alpha)) = \Theta^{\beta_0}(\alpha, \Psi^{\beta_0}(\alpha)) = 0$ for
 $(\alpha, \Psi^{\beta_0}(\alpha)) \in U$.

We also show that $\Theta^{\beta_0}(\alpha, \beta)$ is infinitely differentiable in a neighborhood of
 (β_0, β_0) .

number of identical terms = 8

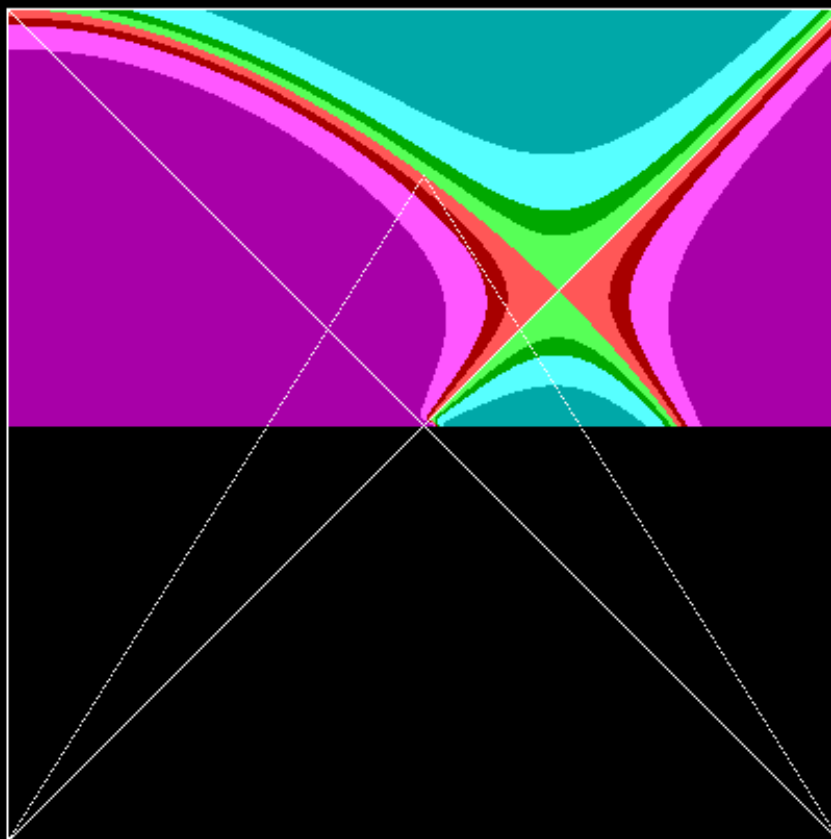
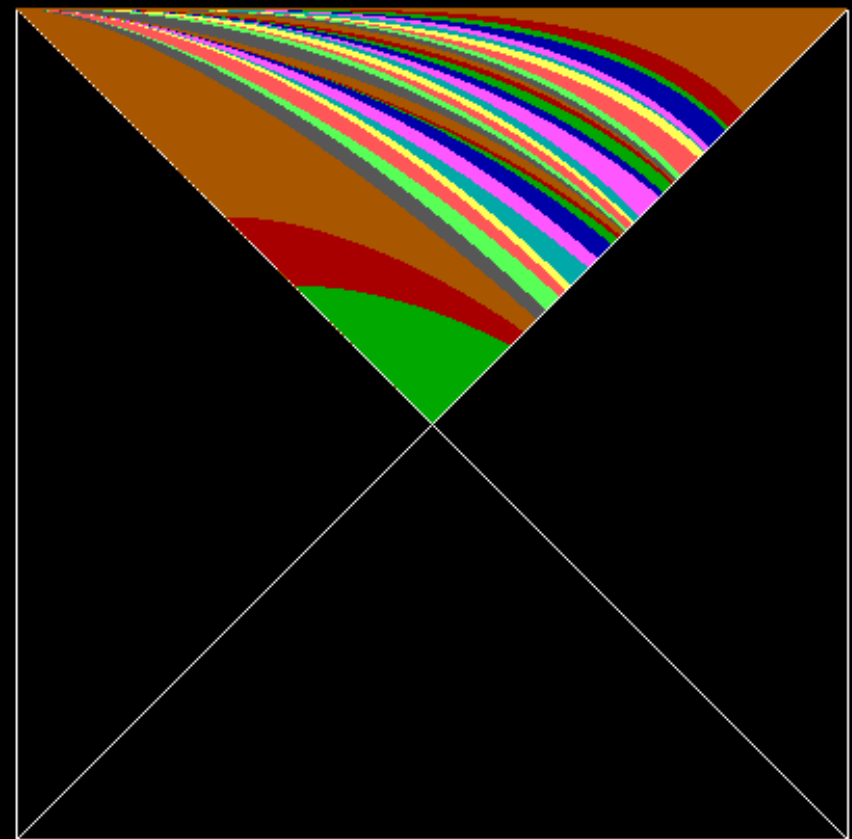
RLRRLRLRLRRRRRLRLRRLRLRLRRLRRRLRLRRLRRRLRLRRLRRRLR
alpha= .5 beta= .8



Moreover, we show that $\Theta_{\underline{M}_{\beta_0}}(\alpha, \Psi^{\beta_0}(\alpha)) = \Theta^{\beta_0}(\alpha, \Psi^{\beta_0}(\alpha)) = 0$ for $(\alpha, \Psi^{\beta_0}(\alpha)) \in U$.

We also show that $\Theta^{\beta_0}(\alpha, \beta)$ is infinitely differentiable in a neighborhood of (β_0, β_0) .

Therefore, with the implicit definition one can extend the definition of Ψ^{β_0} a little behind β_0 , that is one can extend the definition of Ψ^{β_0} onto a small interval $(\beta_0, \beta_0 + \varepsilon)$,

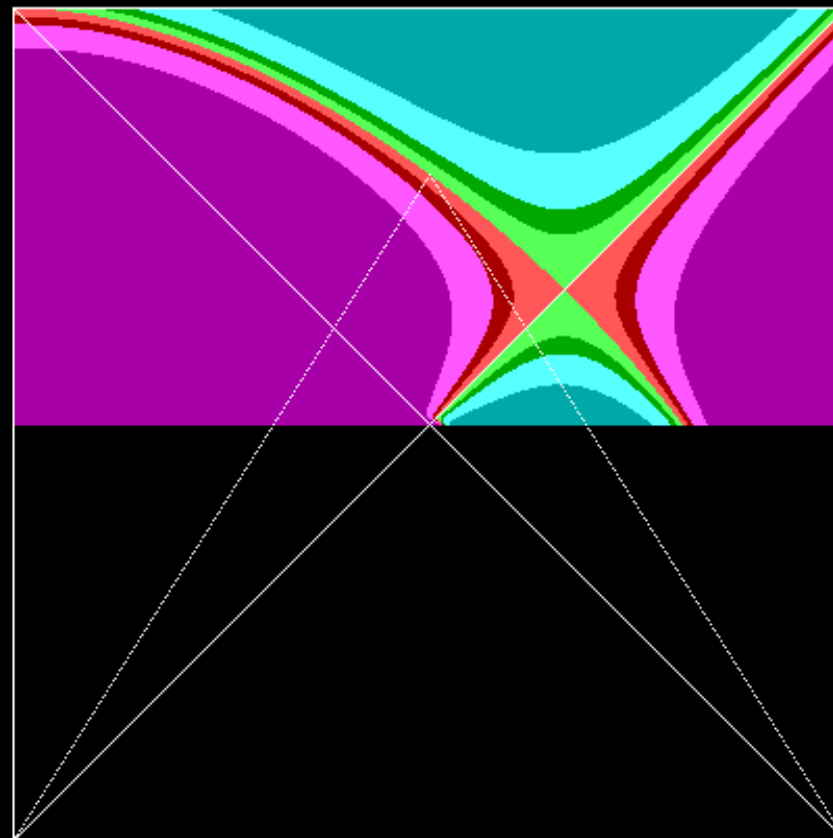
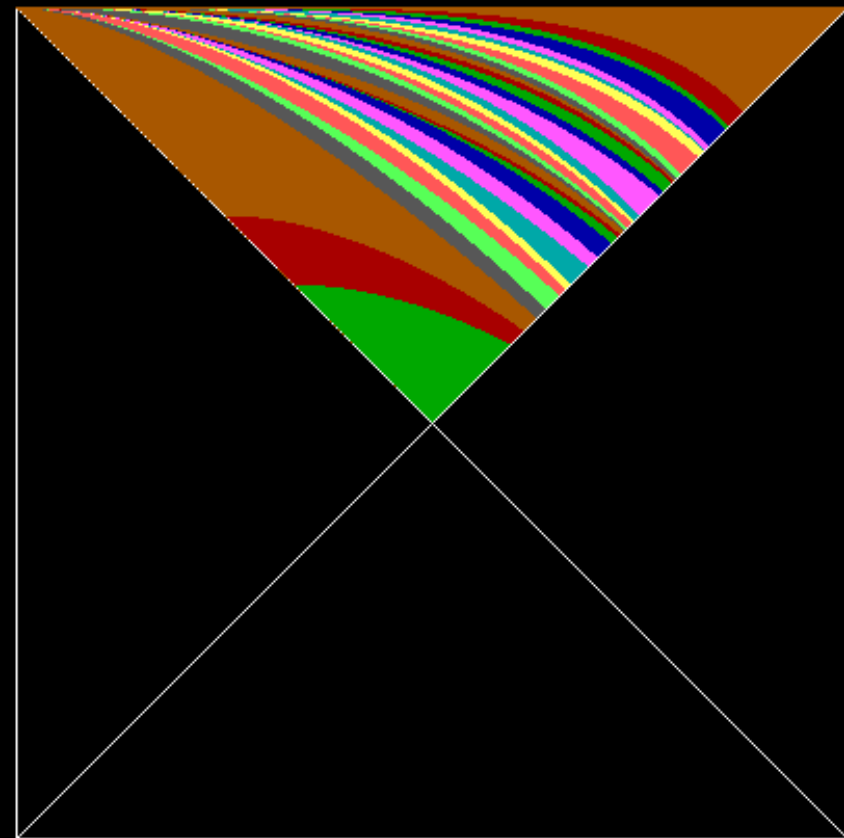


by setting $\Theta^{\beta_0}(\alpha, \Psi^{\beta_0}(\alpha)) = 0$. A little caution is necessary.

L.: Suppose $\beta_0 \in (\frac{1}{2}, 1)$ and we consider $\Psi^{\beta_0}, \Theta^{\beta_0}$ defined as above.

Then $\Theta^{\beta_0}(\beta, \beta) = 0$ for all $\beta \in (\frac{1}{2}, 1)$ $\partial_\alpha \Theta^{\beta_0}(\beta_0, \beta_0) = 0, \partial_\beta \Theta^{\beta_0}(\beta_0, \beta_0) = 0$.

Hence we cannot determine the derivative of Ψ^{β_0} at β_0 by using implicit differentiation of $\Theta^{\beta_0}(\alpha, \Psi^{\beta_0}(\alpha)) = 0$ at $\alpha = \beta_0$.



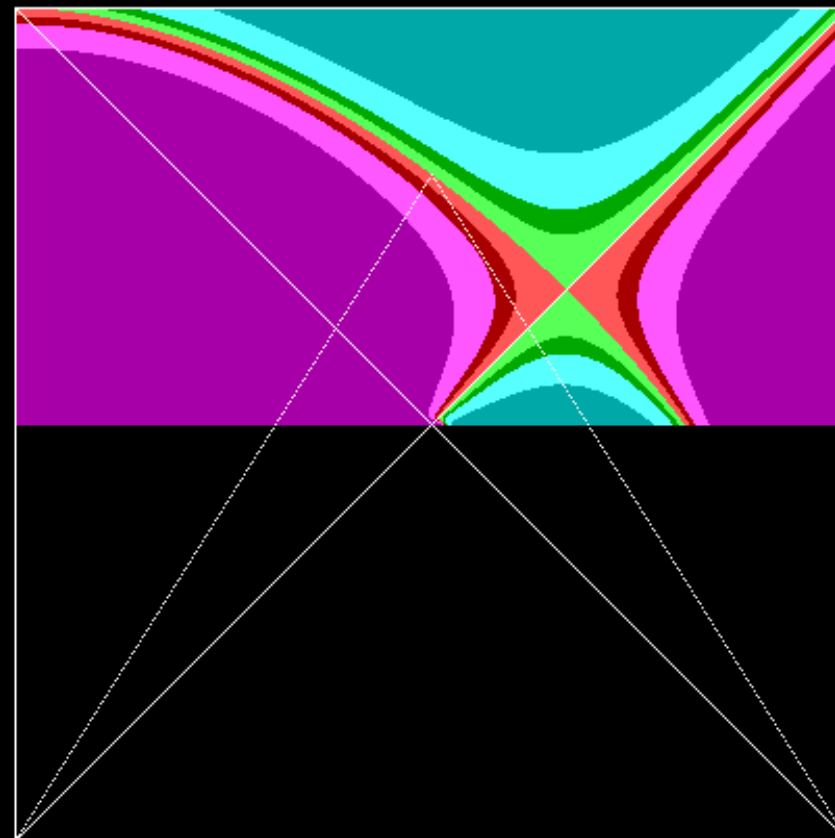
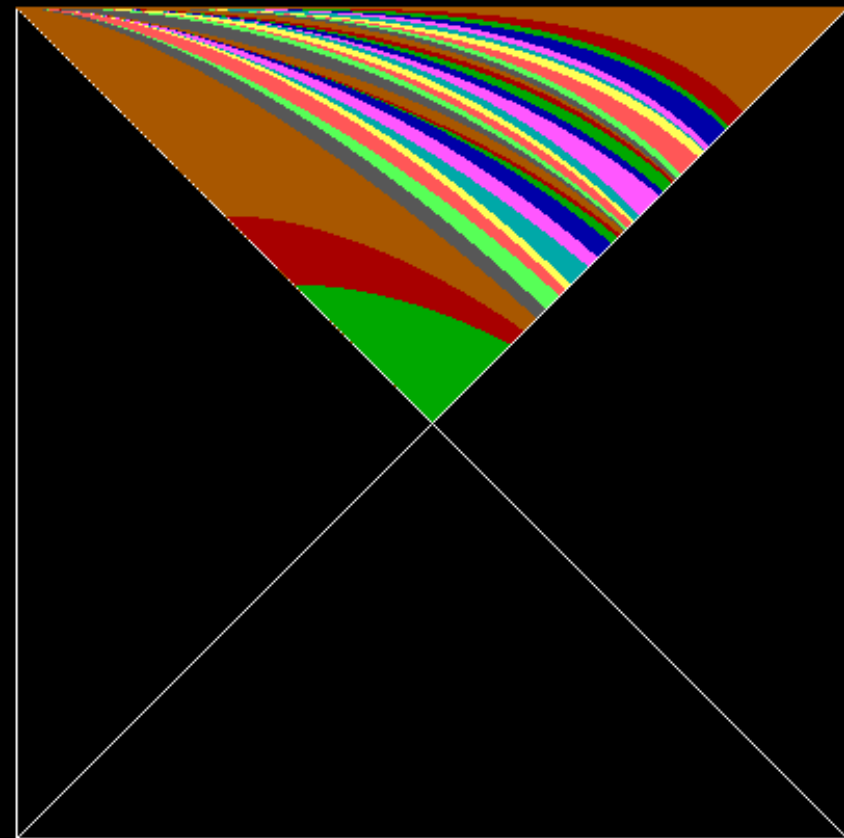
Hence we cannot determine the derivative of Ψ^{β_0} at β_0 by using implicit differentiation of $\Theta^{\beta_0}(\alpha, \Psi^{\beta_0}(\alpha)) = 0$ at $\alpha = \beta_0$.

To avoid awkward notation we denote by $D_\alpha \Psi^{\beta_0}$ the derivative of $\Psi^{\beta_0}(\alpha)$.

T.: With the above notation $\lim_{\beta_0 \rightarrow 1^-} D_\alpha \Psi^{\beta_0}(\beta_0) = -1.$

number of identical terms = 8

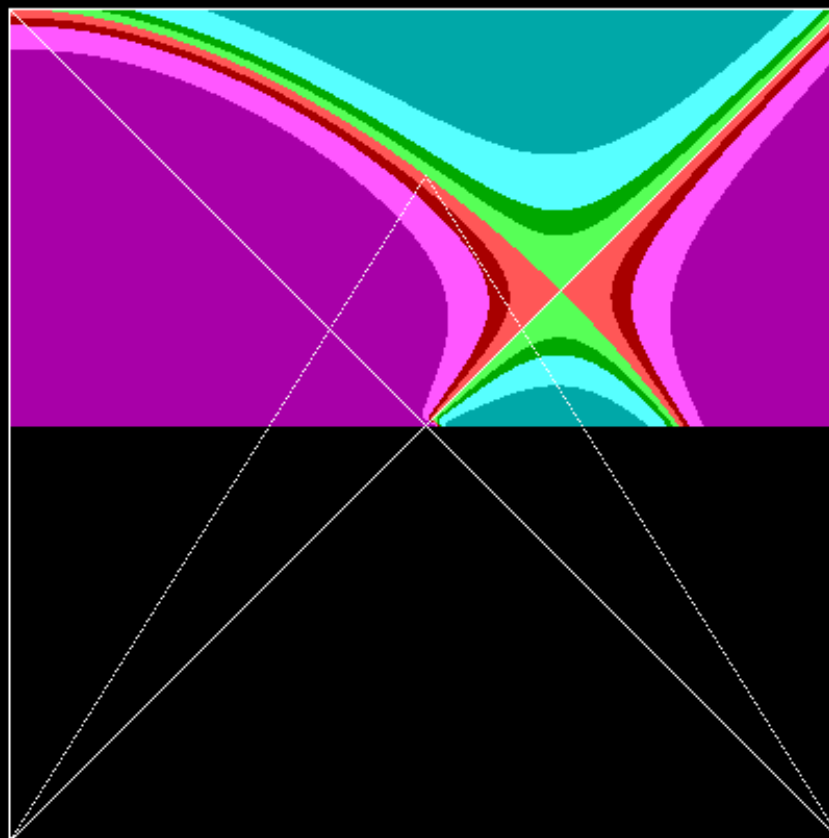
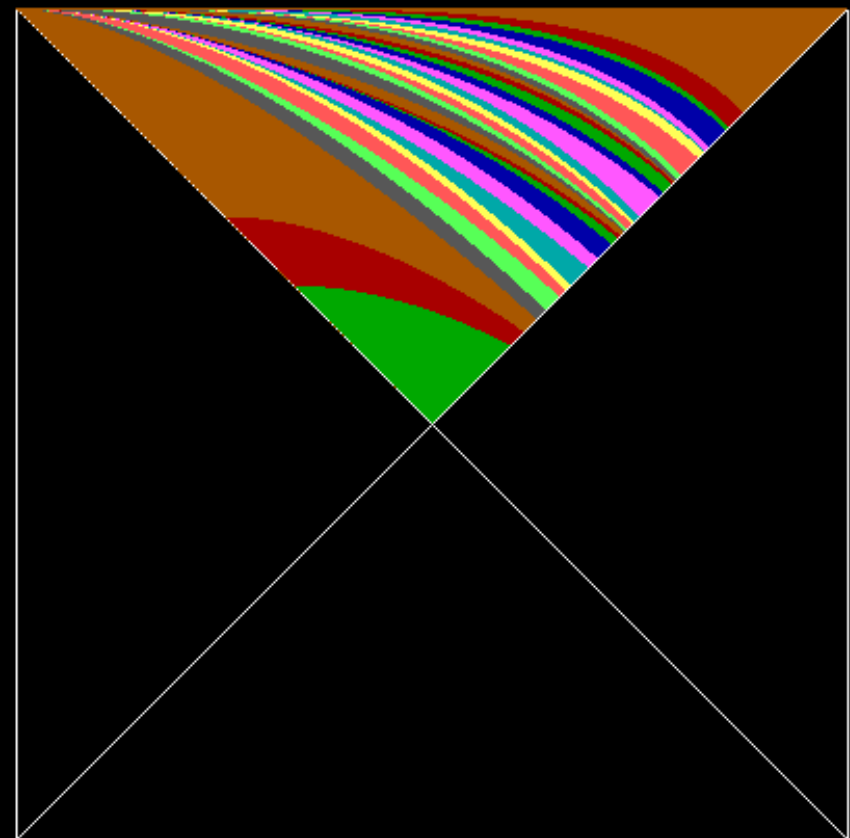
RLRRLRRLRRLRRRRRRLRLRRLRLRRLRRLRRRRRRLRLRRLRRLRRLRRLRRRRRRL
alpha= .5 beta= .8



To avoid awkward notation we denote by $D_\alpha \Psi^{\beta_0}$ the derivative of $\Psi^{\beta_0}(\alpha)$.

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This means that the curves Ψ^{β_0} are almost perpendicular to the diagonal $\{(\beta, \beta) : \frac{1}{2} < \beta < 1\}$ at the point (β_0, β_0) when β_0 is close to 1.



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M. Misiurewicz asked in April in Warwick whether the formula

$$\lim_{\beta_0 \rightarrow 1-} D_\alpha \Psi^{\beta_0}(\beta_0) = -1$$

can be improved to $D_\alpha \Psi^{\beta_0}(\beta_0) = -1$.

